



Fruit of Knowledge, Wheel of Learning

Essays in Honour of Carole Hillenbrand

Edited by Ali Ansari





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Contents

Foreword	6	The Death of Dargazini	90
MELANIE GIBSON AND ALI ANSARI		CHRISTIAN LANGE	
Carole Hillenbrand: An Appreciation	8	Attribution of an Anonymous <i>Qasida</i> Appended to the First Redaction of the <i>Siyar al-Muluk</i> : Stylometry Results	108
ALI ANSARI		ALEXEY KHISMATULIN	
Carole Hillenbrand: Publications	10		
The Fatimid Caliph al-Zafir: A Reassessment	14	Two Royal Almanacs from Late Fourteenth-Century Anatolia	122
KIRSTEN THOMSON		A.C.S. PEACOCK	
Penning the Foundations: A Comparative Reading of Fatimid Origins Narratives with Abbasid and 'Ibadi Texts	24	Mirza Saleh Shirazi's History of England	140
SHAINOOL JIWA		ALI ANSARI	
Crucicentric Foundations for Tenth-Century Isma'ili Caliphates	56	Egypt's 2011 Popular Uprising in Gramscian Perspective	160
W. RICHARD OAKES, JR.		JOHN CHALCRAFT	
Problematising the Islamic Evidence for the Crusades: The Frankish Red Sea Raid of 1183	68	The Islamic Manuscript Collection of A.S. Yahuda in Princeton University Library: A History of Acquisition	176
ALEX MALLETT		SAEKO YAZAKI	
Al-Suyuti's <i>Ta'rikh al-Khulafa'</i> : A History of Politics or Piety?	76	Author Biographies	190
STEPHEN R. BURGE			

Penning the Foundations: A Comparative Reading of Fatimid Origins Narratives with Abbasid and 'Ibadi Texts

THE MOMENTOUS REIGNS of the fourth Fatimid Imam-Caliph al-Mu'izz li-Din Allah (r. 341–365/953–975) and that of his son and successor Imam-Caliph al-'Aziz bi'llah (r. 365–386/976–996) witnessed the coming-of-age of the Fatimid foundation narrative.¹ This four-decade period led to the production of works, catalysed by state patronage, which provide primary source narratives of formative developments in Fatimid history. Most prominent of these works was the *Iftitah al-Da'wa wa-Ibtida' al-Dawla* (Commencement of the Mission and Beginning of the State) by the Fatimid chief-justice (qadi) Abu Hanfia al-Nu'man (d. 363/974).² Other historical works produced in this period include the *Istitar al-Imam* (The Concealment of the Imam) by Ahmad bin Ibrahim (or Muhammad) al-Nisaburi (d. after 386/996),³ *Sirat al-Ustadh Jawdhar* (Biography of Ustadh Jawdhar) by Mansur al-'Azizi al-Jawdhari (d. circa 386/996),⁴ and *Sirat Ja'far al-Hajib* (Biography of Ja'far the Chamberlain) by Muhammad bin Muhammad al-Yamani (fl. fourth/tenth century).⁵ Despite variations in content and form, each of these works contributes to relating the origins of the Fatimid state, and the mission of the Isma'ili *da'wa* that had led to its inception. Their authors belonged either to the Fatimid Isma'ili *da'wa*, or were employees of the Fatimid state bureaucracy. Cumulatively, their works came to serve as the official Fatimid foundations narrative.

Accounts concerning various caliphates, regional dynasties as well as religious communities became increasing commonplace in Muslim historiography from the fourth/tenth century onwards.⁶ Among the early examples of such works

is the anonymous *Akhbar al-'Abbas* (Reports on al-'Abbas), written seemingly before the fourth/tenth century, which relates the origins of the Abbasid *da'wa* that culminated in their caliphate in 132/750.⁷ A similar foundation narrative is to be found in the sixth/twelfth century 'Ibadi work, *Kitab al-Sira wa-Akhbar al-A'imma* (The Book on Biographies and Reports on the Imams) by Abu Zakariyya Yahya al-Warjalani, which as part of its larger narrative includes the foundation narrative of the 'Ibadi Rustamid dynasty (160–296/777–909), which was displaced by the Fatimids in North Africa.⁸

Akhbar al-'Abbas, *Iftitah al-Da'wa* and *Kitab al-Sira* are representative of 'foundation narratives' written in the third/ninth, fourth/tenth and the sixth/twelfth centuries respectively. Each is written within a distinct ideological framework: *Akhbar al-'Abbas* professes an early Abbasid doctrinal position of broad-based Hashimi Shi'ism with a vested interest in legitimising the Abbasid house; the *Iftitah* invokes an Isma'ili Shi'i model of authority, which is invested in a divinely mandated imamate from the lineage of al-Husayn bin 'Ali, while the *Kitab al-Sira* presents the 'Ibadi Khariji model of a meritorious imamate, based on the selection of the most pious and deserving figure. These works therefore serve as salient examples for a comparative reading of Abbasid, Fatimid and 'Ibadi foundational narratives.

This paper aims to explore shared as well as distinct features of Fatimid foundation narratives through specific reference to Qadi al-Nu'man's *Iftitah al-Da'wa* in relation to contemporaneous origins narratives in broader Muslim historiography, particularly the earlier *Akhbar al-'Abbas* and the later *Akhbar al-A'imma* of al-Warjalani. Specifically, it reviews the *da'wa* to *dawla* paradigm permeating all three works and ascertains the extent to which this principle became a dominant feature across these texts.⁹ It is apparent that al-Nu'man was concerned with presenting the righteous Isma'ili *da'wa* (mission) as the historical process that led to the formation of the Fatimid *dawla* (state). A comparative analysis of al-Nu'man's work with the origins narratives presented in *Akhbar al-'Abbas* and *Kitab al-Sira* highlights that all three texts shared the *da'wa* to *dawla* model in their conceptions of the process of state-formation. A close textual analysis of these works further demonstrates that they shared a number of other motifs in their narration of the *da'wa* to *dawla* model. These include mention of *futuḥāt* ('openings' or 'conquests') as symbolic processes that indicated Divine-sanction within the *da'wa* to *dawla* process. They also include the provision of accounts that locate the religious knowledge (*'ilm*) of the founders of their movement's *da'wa* as symbols of the legitimacy of the *dawla* which they subsequently engendered.

Modern scholars have devoted significant effort to the study of the aforementioned Fatimid corpus of historical texts.¹⁰ As these works were lost to scholarship until their rediscovery in the twentieth century, discussions thus far have focused mainly on mining their significant historical data.¹¹ Analyses of these works as a product of fourth/tenth century Arabic historiographical tradition are therefore limited.¹² Walker's primer on sources from the Fatimid

period,¹³ and Brett's discussion on the reliability of the broader body of Fatimid and North African historiography are notable exceptions.¹⁴ Similarly, conclusions presented in modern scholarship on broader Arabic historiography remain to be closely studied in relation to Fatimid historical writings, whose authors were contemporaries of the 'classical' historians of the eastern Islamic world. In examining the models of state-formation shared by Fatimid, Abbasid and 'Ibadi authors, this paper seeks to contextualise Fatimid historical writing within the broader frame of Muslim historiography.

Qadi al-Nu'man and *Iftitah al-Da'wa*

Composed around 346/957,¹⁵ Qadi al-Nu'man's *Iftitah al-Da'wa* recounts the establishment of the Fatimid state. It provides a detailed history of the Isma'ili *da'wa* in North Africa led by the religio-political emissary (*dā'ī*) Abu 'Abdallah al-Shi'i (d. 298/911) who began his *da'wa* activity in 280/893. Over the next 16 years, this led to the conquest of North-Africa (*Ifriqiya*) and culminated in the proclamation of 'Abd Allah al-Mahdi bi'llah (r. 297-323/909-934) as the first Fatimid imam-caliph in 297/909, thus marking the foundation of the Fatimid state. The *Iftitah al-Da'wa* ends with a brief outline of the major events during the reigns of al-Mahdi bi'llah, his successors al-Qa'im bi-Amr Allah (r. 322-334/934-946) and al-Mansur bi'llah (r. 334-341/946-953), and to the composition of the work.¹⁶ In the *Iftitah*, there is a progressive transition from the Isma'ili *da'wa* era of the *Dawr al-Satr* (the period of concealment), during which the identity and whereabouts of the Isma'ili imams were kept hidden, to the *zuhūr* (the public manifestation) of Imam-Caliph 'Abd Allah al-Mahdi, and the beginning of the *dawla*.

The author, Qadi Abu Hanifa al-Nu'man bin Muhammad, the architect of Fatimid jurisprudence and author of seminal texts on Fatimid doctrine, was among the most influential Fatimid scholars in the fourth/tenth century.¹⁷ Having entered into the service of the first Fatimid Imam-Caliph al-Mahdi bi'llah in 313/925, al-Nu'man was appointed chief justice over the Fatimid realms in 336/948 by the third Imam-Caliph al-Mansur bi'llah.¹⁸ He reached the apogee of his long career by also serving as the chief of the Fatimid *da'wa* during the reign of the fourth Imam-Caliph al-Mu'izz li-Din Allah.¹⁹ As Sumaiya Hamdani argues, in the process of transformation that saw the Isma'ili revolutionary movement become an established polity, Qadi al-Nu'man's efforts were pivotal in creating a *zahirī* (exoteric) legal and doctrinal framework for the Fatimid state.²⁰

It is al-Nu'man's erudition in this period that has earned him the accolade of being the founder of Fatimid historiography.²¹ Among his several compositions, many no longer extant, were biographies (*sira*) of each of the first four Fatimid imam-caliphs.²² However, it was the *Iftitah al-Da'wa* that became the most consequential of al-Nu'man's historical works. Notably, the *Iftitah* was

commissioned by al-Mu'izz li-Din Allah, who reviewed and approved the final version, rendering it, according to Brett and Poonawala, an official and authoritative account of the dynasty's own origins.²³ Subsequently, the *Iftitah* was integrated in Isma'ili *da'wa* literature as the authentic foundational narrative of the Fatimid state and also found salience in subsequent North African Sunni historiography as a key source for the rise of the Fatimids.²⁴

The *Iftitah* reflects a teleological approach to history, which the Fatimid *da'wa* literature adopted to provide a moral, salvific vision to its adherents.²⁵ The Fatimid Imams and the Isma'ili *dā'īs* under them are presented as the central agents through which God's redeeming intervention in the affairs of humankind is realised through God's continued support (*ta'yīd*) and assistance (*nuṣra*). The trajectory of events that see the transition from *da'wa* and culminate in the Fatimid *dawla* are therefore presented as inevitable acts of history that have been foreordained.

As noted by al-Qadi, Lindsay and Poonawala, the *Iftitah* mirrors the experiences of the Isma'ili faithful of North Africa to those of the nascent Muslim community in Mecca. In its narrative, adherents of the Isma'ili *da'wa* re-enact the deeds and experiences of the Prophet's community, including moving from ignorance (*jāhiliyya*) to knowledge and guidance, from tribalism to a community under God's ordained authority. They suffer the enmity of the irreligious, bear the burden of 'hypocrites' within their own ranks, perform their own migration (*hijra*), and as agents of God's providence, emerge victorious with the founding of the Fatimid state.²⁶

The *Iftitah* was thus crafted to legitimise the Fatimid state through the recounting of the righteousness of its *da'wa*. Yet, as becomes apparent, in adopting this approach, al-Nu'man's narrative is drawing upon a shared repertoire of state formation to which Abbasid and 'Ibadi writers also subscribed.

The *Akhbar al-'Abbas*

Composed, in all likelihood, between the third-fourth/ninth-tenth centuries, the *Akhbar al-'Abbas* presents detailed biographies of the forefathers of the first Abbasid Caliphs, followed by narratives on the *da'wa* that led to the proclamation of the Abbasid Caliphate. Generally divided into two parts, the first part relates the qualities and virtues attributed to the Abbasid forebears. Beginning with the death of al-'Abbas bin 'Abd al-Muttalib (d. circa 32/653), the uncle of the Prophet Muhammad, the *Akhbar* provides a succession of biographical entries on his lineal descendants beginning with his son 'Abdallah bin al-'Abbas (d. circa 68/687-688) and then proceeds with accounts on 'Ali bin 'Abdallah bin al-'Abbas (d. circa 117/735), Muhammad bin 'Ali bin 'Abdallah (d. 125/743) and Ibrahim bin Muhammad (d. 132/749). The second part provides a detailed rendering of the Abbasid *da'wa*, from the sending of *dā'īs* to Khurasan by Muhammad bin 'Ali bin 'Abdallah bin

al-'Abbas, and the dispatch of Abu Muslim al-Khurasani (d. 137/755), to a detailed account of the *futūḥ* of the 'Hashimiyya', that is, the conquest of the Abbasid armies that ultimately led to their seizure of Kufa. It ends on the cusp of the proclamation of the Abbasid Caliphate in 132/749, and thus the beginning of its *dawla*.

The *Akhbar al-Abbas* has been argued to contain 'by far the most detailed, comprehensive, and coherent account of the Abbasid *da'wa* available in any source.'²⁷ Following its discovery in 1955 and subsequent publication in 1971, the work has been subject to considerable analysis, leading to a significant revision of the history of this seminal movement in early Islamic history.²⁸ However, with the extant text found only in a single manuscript with no formal title or author, the provenance of the work has led to numerous debates regarding its title, authorship, dating and completeness.²⁹ Al-Duri argued that it was composed by Ibn al-Nattah in the third/ninth century. Daniel on the other hand places its composition in the second half of the third/ninth or the early fourth/tenth centuries.³⁰ While Daniel tentatively suggests Muhammad bin al-'Abbas bin Muhammad bin Yahya (d. 310/922) as an author of the work, he maintains that 'any theory about the authorship of the *Akhbar* must ultimately remain speculative.'³¹ Subsequently, Bahramian argued that the work was 'probably written in the first half of the fourth/tenth century.'³² For the purposes of this study, it is noteworthy that the author of the *Akhbar al-Abbas* would have been almost contemporaneous to Qadi al-Nu'man.

Despite these controversies, the importance of the *Akhbar* is well-established and is considered to represent a 'highly pro-Abbasid, and perhaps even an authoritative, official, interpretation of Abbasid history.'³³ The work's composite nature, with the first part closely resembling genealogical historiography and the second part on the history of the *da'wa*, has led to the suggestion that the latter is older and 'virtually contemporary' to the *da'wa* itself.³⁴

The function of the biographical half of the text has been subject to commentary, with Daniel noting that, 'the author's most obvious purpose was to demonstrate the superior qualities and virtues of the Abbasid family', in which they display the 'greatest piety and religious knowledge.'³⁵ This therefore constituted a 'meticulous effort' to defend the legitimacy of the Abbasid claim to the imamate.³⁶ At this stage, the Abbasid claims to legitimacy were predicated on a broader doctrine of Hashimi legitimacy, which regarded any member from the clan of the Prophet Muhammad as eligible to be the 'chosen' (*riḍā*) imam.³⁷ Consequently, in the biographical section, the *Akhbar* sought to 'depict the Abbasids as being of impeccable virtue and piety and the possessors of spiritual knowledge. Only an individual who met these requirements could be a true *imam*.'³⁸ In a comparative reading of the *Akhbar* and the *Iftitah*, the conjunction of the two parts in the former is noteworthy. As demonstrated below, beyond ascribing certain qualifications of the Abbasids as imams, this conjunction highlights the ubiquity of the *da'wa* to *dawla* model and the necessity of tracing righteous *'ilm* in the process of state formation.

The *Kitab al-Sira wa-Akhbar al-A'imma*

The *Kitab al-Sira wa-Akhbar al-A'imma* (probably completed before 504/1110) although composed later than the *Akhbar al-'Abbas* and the *Iftitah al-Da'wa*, remains nonetheless the earliest surviving source on the 'Ibadis of North Africa written by an 'Ibadi scholar.³⁹ Based mainly on oral narratives, the work has been described as essentially a two-part composition. The first part relays the history of the introduction of 'Ibadism in North Africa, thus providing the foundation narrative of the Rustamid *dawla*. The second part documents biographies of eminent Maghribi 'Ibadis up until the sixth/twelfth century.⁴⁰

Abu Zakariyya Yahya bin Abi Bakr al-Warjalani was from the Warjalan region of the Algerian Sahara and became prominent as both a historian and scholar of the 'Ibadiyya.⁴¹ Like al-Nu'man's *Iftitah*, Warjalani's work gained influence among the adherents of his own *madhhab* in later centuries. The structure and function of the *Kitab al-Sira* has recently been subject to a sustained study. In his analysis of 'Ibadi literary scholarship, Paul Love Jr. describes the *Kitab al-Sira* as a work of 'Ibadi prosopography that was motivated by the 'threat of extinction' that faced the 'Ibadi communities of North Africa in the sixth/twelfth centuries following their significant decline in the Fatimid period.⁴² Warjalani is argued to have sought to mitigate the loss of communal memory that had plagued the geographically-scattered 'Ibadi communities of North Africa by composing a text that above all linked 'Ibadi 'coreligionists of the past with those of the present.'⁴³ Love Jr. adds that the *Sira*'s function was to provide a narrative of 'Ibadi history in North Africa that contextualised their later medieval condition, which justified the 'uncertainty of their future' but notably created a 'seamless historical transition from the Rustamids to the 'Ibadi scholars of the eleventh century.'⁴⁴ This was done through two 'complementary halves' of the text. The first accounted for the history of the 'Ibadi community in North Africa, their 'links with the east' and the history of the Rustamids. The second half, focused on the biographies of 'Ibadi scholars, sought to establish continuity by highlighting the 'passing of the mantle' of leadership to the class of 'Ibadi scholars in the post-Fatimid period.⁴⁵

The focus in this paper is particularly on the *Sira*'s origins narrative as regards the foundation of the Rustamid imamate by 'Abd al-Rahman bin Rustam (d. circa 171/788) around 162/779, and the concurrent foundation of the new 'Ibadi capital of Tahert in modern-day Algeria. The *Kitab al-Sira* relates 'Abd al-Rahman bin Rustam's departure from Qayrawan to Basra in Iraq to 'seek knowledge' at the hands of the famed 'Ibadi scholar Abu 'Ubayda Muslim bin Abi Karima al-Tamimi (d. circa late second/eighth century). While seemingly digressing to give accounts on 'the virtues of the Persians' and the 'virtues of the Berbers', the *Kitab al-Sira* subsequently returns to the biography of Ibn Rustam. It recounts his journey to Basra as following the instruction of an anonymous member of the 'Ibadi *ahl al-da'wa*, his tutelage under Abu 'Ubayda in Basra and his return to Qayrawan as

being among the five ‘bearers of knowledge (*ḥamalāt al-ilm*)’ sent by Abu ‘Ubayda as missionaries to North Africa. The *Sira* then recounts the establishment of the first ‘Ibadi imamate in North Africa, that of Abu’l-Khattab (d. 144/761) who was among the five ‘bearers of knowledge’. It then narrates the events that led to the defeat of the ‘Ibadis by the Abbasids and their move westwards. Subsequently, the text returns to the imamate of ‘Abd al-Rahman bin Rustam to give a more detailed account of the Rustamid state. It continues through their own schisms to conclude with accounts of their leaders following the fall of the Rustamid state.

The *Iftitah* and Muslim Origins Narratives

The salience of the large-scale production of early Muslim historical writing has received significant scholarly attention in recent decades.⁴⁶ Of particular relevance to contextualising al-Nu‘man’s *Iftitah* are discussions concerning the writing of ‘origins narratives’, with the theme of *futūḥ* (conquests) serving as a central motif.

Relating the history of the foundation and the early decades of the Fatimid Caliphate, al-Nu‘man’s *Iftitah* ostensibly represents the type of dynastic historiography that was to become common in later medieval Muslim historical writing.⁴⁷ Rosenthal has noted that while dynastic historiography was a feature of the later medieval period, examples of such historiography extend back to the early Abbasid period, and would thus predate al-Nu‘man’s *Iftitah*.⁴⁸ While it is feasible to situate al-Nu‘man’s work in this genre, it is important to consider the order and emphasis of the narrative presented in the *Iftitah*. Beginning with events circa 266/880, the *Iftitah* relates the conversion and sending of the Isma‘ili *dā‘īs* Ibn Hawshab (d. 302/914) and ‘Ali bin al-Fadl (d. 303/915) to Yemen, followed by a detailed account of the career of Abu ‘Abdallah al-Shi‘i in North Africa from 279/892 to the conquest of Qayrawan, and the end of Aghlabid rule in 296/909. He then charts the successive reigns of the first four Fatimid imam-caliphs up until the composition of the work.

While the entire text covers an eighty-year period, over eighty percent of the text is concerned with the twenty-nine-year history of the Isma‘ili *da‘wa* in North Africa—from its initiation in 266/880 to its triumph in 297/909.⁴⁹ In contrast, the forty-seven-year history of the reigns of the imam-caliphs occupies less than twenty percent of the text.⁵⁰ These are therefore summative chapters of ‘dynastic history’, reporting the major milestones of the first five decades of Fatimid rule.⁵¹ Al-Nu‘man notes that he has elsewhere covered the reigns of the Fatimid imams in greater detail.⁵² Yet, his inclusion of them in the *Iftitah* underscores that the history of the *dawla* formed a critical end-point of this work. It is nonetheless patent that the *Iftitah* is primarily an *origins* narrative.

It has been cogently argued that the majority of Muslim historical writings from the second/eighth century onwards were concerned with relating the origins of the Muslim community.⁵³ These came to include historical works on the life and

mission of the Prophet Muhammad, as well as histories of the early caliphates, genealogies and biographies of the major tribes and figures of the early Muslim community and the key events of early Muslim history.

Donner has argued that the impetus for composing these ‘narratives of Islamic origins’ lay in the necessity for ‘exercises in legitimation’ by different Muslim groups following major developments over the first two centuries of Islam. These works were used by Muslim authors to legitimise the various religious doctrines and political developments over the first two centuries of Islam. The works sought to address issues regarding religio-political leadership (*imāma* and *khilāfa*), the legitimisation of Islam’s confessional identity vis-à-vis other monotheistic communities and rationalisation of Muslim rule over the non-Muslim majority populace of the Near East. Scholars from across the Muslim traditions responded to these issues by composing origins narratives through their particular vantage points.⁵⁴ Consequently, historical writings on the origins of Islam became an integral process through which varied traditions within the *umma* crafted their self-identity and doctrinal stances.⁵⁵

The multitude of concerns that Muslim historical writing sought to address resulted in the emergence of significant themes around which such works focused. Donner classifies these into three broad categories. Firstly, ‘themes of inception’, which provided ‘retrospective origination-points’ of a community by locating its birth at a temporal point in time. The most notable example of these are works concerning Muhammad’s Prophethood (*nubuwwa*) which situate the inception of the Muslim faith and community. Secondly, ‘preparatory themes’, in which particular events are anticipated and thus legitimise the inception. Writings on the lives of the Old Testament prophets in Muslim historiography were one prominent theme that came to serve this function. Finally, ‘boundary themes’, by which scholars sought to delineate the community’s distinct identity, internally as well as in relation to others, most notably that of the Muslim *umma* vis-à-vis other monotheistic communities of the Near East.⁵⁶ It was through these rubrics that the early Muslim historical tradition began producing a variety of works concerning the origins of Islam. These include major works on the *sira* and the *maghāzī* (battles) of the Prophet, monographs on the ‘*ridda*’ (wars of apostasy), ‘*ansāb*’ (genealogies) as well as works on the ‘*fitna*’, the first-second/seventh-eighth century Muslim civil wars.

Among the varied themes of historical writing that made their appearance over the first two centuries of Islam, those of the Muslim ‘conquests’ (*futūḥ*) had special prominence. Noth has argued that among the Arabic historical works that document the decades following the death of the Prophet, the *futūḥ* ‘constituted a—if not the—principal historical rubric under which the early traditionists considered the first decades of Islamic history’.⁵⁷ From the second/eighth century onwards, a plethora of *futūḥ* works appeared on the Muslim conquests of Iraq, Syria, North Africa and Iran as well as other cities and regions that were brought

under the early Muslim caliphate.⁵⁸ Their proliferation led Robinson to label the third/ninth century as the ‘golden age of conquest monographs’.⁵⁹

While the early Muslim record retains the titles of dozens of *futūḥ* works written in this period, only some are extant. These include the *Futuh al-Sham* (Conquests of Syria) of al-Azdi al-Basri (d. circa 200/810) and the *Futuh Misr* (Conquests of Egypt) of Ibn ‘Abd al-Hakam (d. 257/871).⁶⁰ As Robinson notes, specialised *futūḥ* historiography was eventually incorporated into annalistic historiography or into more expansive works such as al-Baladhuri’s famous *Futuh al-Buldan* (Conquests of the Regions).⁶¹ Donner categorises *futūḥ* works as belonging to the historiographical ‘theme of inception’ where they serve as legitimising Muslim rule as the will of God.⁶² He adds that *futūḥ* narratives were likely spurred by the Umayyad Caliphs, ‘to bolster their claim of hegemony over non-Muslims by relating the conquerors’ miraculous success.’⁶³

The dominance of origins narratives in Muslim historiography, which by the fourth/tenth century had swelled to a large corpus, set the paradigms upon which communal identity and state-formation were conceptualised. These became widely accepted across the Muslim tradition, despite variances regarding interpretations of events relating to the life of the Prophet Muhammad and the early caliphs among Sunni, Shi‘i or later ‘Ibadi historians.⁶⁴

The reading of the *Iftitah*, in comparison with the *Akhbar* and the *Sira*, demonstrates that al-Nu‘man’s text is moulded by these principal themes of Muslim historiography. Each of these three works serve as salvation histories of their respective traditions in which the genesis of their community, led by the rightly-guided leaders, was culminated as a result of God’s will. In these works, the themes of inception, preparation and boundaries are evident, as is the *da‘wa* to *dawla* paradigm. As becomes apparent, the Fatimid, Abbasid and ‘Ibadi authors cast their own origins narratives within the broader prevalent tropes on the origins of Islam.

The *Da‘wa* to *Dawla* Model

Through a comparison of the salient narrative structures and motifs used in the *Akhbar al-‘Abbas* and the *Kitab al-Sira*, it is apparent that these works shared a similar conception of the process of state formation with al-Nu‘man’s *Iftitah*. As demonstrated below, each of these works broadly follow a three-stage process through which they document the origins and formation of the *dawla*.⁶⁵ The first stage relates to the initiation and spread of a *da‘wa* through a knowledgeable and righteous guide and those whom he initiates into the mission. The second stage concerns the initiation of military conquests by these figures, leading to a series of victories or military engagements that are, to differing degrees, instrumental in the formation of their *dawla* and notably, highlight God’s aid and sanction for the subsequent genesis of a state. The third and final stage is the establishment of the

dawla itself. A number of shared motifs are found across these texts, including the linking of the righteous forbears of a tradition with those involved in the founding of a state, the hierarchies and organizational processes of the *da‘wa* formation and functions and assertions of God’s active aid in their military victories. Reflecting their distinct historical and doctrinal vantage points, the three works expectedly foreground varied aspects of this commonly held origins framework.

While al-Nu‘man’s *Iftitah* remains the focus of this study, its contextualisation in the broader context of Muslim historiography is perhaps best served by delineating the major features found in the *Akhbar al-‘Abbas* which was possibly composed at least a century prior to the *Iftitah*, followed by a discussion of the significant features of the *Iftitah* and subsequently an analysis of the key features of the *Kitab al-Sira*, as this was written over a century after al-Nu‘man’s work.

The *Akhbar al-‘Abbas*

Following the validation of the credentials of the Abbasid forebears in the first part of the text, it is apparent that the process of state formation in the second part of the *Akhbar* follows the distinct three stage process noted above: the establishment of the *da‘wa*, the manifestation of the *futūḥāt* and the finally the promulgation of the *dawla*. While the proclamation of the first Abbasid caliph al-Saffah is omitted from the sole surviving manuscript of the *Akhbar*, which stops at the cusp of the pronouncement of the caliphate and thus the beginning of the *dawla*, whether this is the actual ending of the text remains a matter of debate.⁶⁶ Nonetheless, the establishment of the Abbasid *dawla* is deemed to be the natural progression following the conclusion of the text.

The commencement of the Abbasid *da‘wa* occupies a significant part of the latter half of the text of the *Akhbar*. It is upon the transfer of the ‘testimony’ (*waṣīyya*) of Abu Hashim and the beginning of the imamate of the ‘Abbasid Muhammad bin ‘Ali that the *Akhbar* has the latter arising, ‘as the *dā‘ī* upon the command of God (*fa-qāma bi-Amr Allāh dā‘īyan*).’⁶⁷ Thereafter, the actual commencement of the *da‘wa* is located in the immediate aftermath of the rebellions and death of Zayd bin ‘Ali in 122/739 and his son Yahya bin Zayd in 125/743, and the subsequent reverberations of these events in Khurasan. The *Akhbar* thus holds that consequent to these events the Abbasid imam Muhammad bin ‘Ali decided to initiate the *da‘wa* in Khurasan, to call them to ‘obedience to the family of the Prophet.’⁶⁸

The text then charts the ‘genealogical’ linkages through which individuals and communities come to accept the *da‘wa* of the Hashimiyya, and thus of Muhammad bin ‘Ali. Centre stage is given to accounts of leading individual *dā‘īs* who gave the imam their allegiance in person, and who then set forth to spread his message. Consequently, the narrative depicts how these *dā‘īs* recruited new adherents, who themselves go on to spread the message of the *da‘wa*. Notable

here, for instance, is the role of Bukayr bin Mahan, who ‘strengthened the affair of the *da’wa*’ (*amr al-da’wa*) and recruited adherents such as Sulayman bin Kathir al-Khuza’i.⁶⁹ Through these connectors, the *Akhbar* also proceeds to adopt the common motif found in Arabic historiography regarding the ‘firsts’ (*awā’il*), listing, for instance, the ‘first to know of the *da’wa* and give the oath of allegiance (*bay’ah*) in Khurasan.’⁷⁰

The *Akhbar*’s coverage of the *da’wa* describes the movement’s formal organization and hierarchy. It includes the depiction of the leading role of the *da’wa*’s *nuqabā’* (representatives), who oversaw the next tier of seventy *dā’īs*.⁷¹ Noteworthy are the recurring references in the narrative of the ‘hidden’ Abbasid Imam in Humayma (modern-day Jordan) directing the affairs of the *da’wa*, seeking through this recurrence to cement his position at the apex of what appears as a highly-centralised organization.

The *Akhbar* also makes a concerted effort to reiterate how the *da’wa* forged a new communal identity among its adherents. The people of the *da’wa* are referred to as the *Ahl al-Da’wa*, and its proponents are often called the ‘men of the *da’wa* (*rijāl al-da’wa*)’.⁷² Their eastern, Khurasani, moorings are anchored in the statement of the Abbasid imam Muhammad bin ‘Ali: ‘our *da’wa* is eastern (*mashriqiyya*), our helpers (*anṣār*) are the *Ahl al-Mashriq* (the people of the east), and our banners are black.’⁷³

Notable in relation to the *Iftitah* and the *Kitab al-Sira* discussed below, are the narratives regarding concealment (*satr* or *kitmān*). Muhammad bin ‘Ali is described as having ‘concealed his affair (*akhfā amrah*)’ until his expectation of the moment upon which he would spread his *da’wa*.⁷⁴ *Dā’īs* like Bukayr bin Mahan are commanded to ‘guard’ their tongues.⁷⁵ In the famous instruction to his *dā’ī*, Imam Muhammad bin ‘Ali thus commands that the people should be called to ‘the agreed-upon member from the family of Muhammad’ (*al-Riḍā min Āl-Muḥammad*); but when asked as to who that is, to say that, ‘we have been told to keep this concealed (*kitmān*) until he appears.’⁷⁶

The second stage of the state-formation process described in the *Akhbar* is of military ‘conquests’ (*futūḥ*). This stage marks the public manifestation (*ẓuhūr*) of the *da’wa*, through the symbolic raising of black banners by Abu Muslim al-Khurasani in villages outside Marw on 1 Shawwal 129/15 June 747.

The *futūḥ* is preceded in the earlier segments by accounts that denote the upcoming military victories of the *da’wa* as pre-ordained and thus recipients of divine sanction. Among these are the prophecy of Ibn ‘Abbas that the bearer of the *da’wa* should be kept secret until it reaches Jurjan, after which he will find its *iftitāḥ*.⁷⁷ Similarly, Muhammad bin ‘Ali prophesies to his *dā’ī* that, ‘if you see the black banners coming from Khurasan then their people shall not come across a fort unless they conquer it (*fataḥūh*), nor will a banner of their enemy be raised until it is shredded.’⁷⁸ Such preparatory statements form a staple of the *Akhbar* and emerge as part of the now widely studied broader circulation of Prophetic traditions in anticipation of the Abbasid movement.⁷⁹

Following the reporting of the public manifestation of the black banners, the *Akhbar* text becomes primarily a work of *futūḥ*. Thereon, its subheadings outline the centrality of the conquests with the text divided into the *fataḥ* of Marw, Sarakhs, Tus, Naysapur, Qumis, Tabaristan, Rayy, Hamadan, Qumm and Isfahan, culminating with that of Kufa in 132/749.⁸⁰

God’s agency in securing the conquests on behalf of the *da’wa* is ubiquitous throughout the text. Pronouncement of a conquest is often termed as a divine act, noting that ‘God conquered (*fataḥa*) many lands for them (i.e. the *da’wa*).’⁸¹ This is evident in the *Akhbar*’s accounts of letters sent by Qahtaba bin Shabib al-Ta’i (d. 132/749), the famed Abbasid general who led the armies of the *da’wa* in their decisive battles against the Umayyads in Khurasan and Iran.⁸² Qahtaba’s letters to Abu Muslim about the conquests of the *da’wa* have the general pronouncing that ‘God had conquered (*fataḥa*) for him.’⁸³

The text of the *Akhbar* ends with the death of the Abbasid Ibrahim al-Imam in 130/748 and an account of the transfer of his *waṣīyya* to his brother, the future Caliph Abu’l-‘Abbas al-Saffah (r. 132–136/750–754).⁸⁴ Following the work’s charting of the initiation, organization and cohesiveness of the *da’wa*, and the manifestation of divinely-aided conquests, the *Akhbar* ends its origins narrative on the brink of the beginning of the Abbasid *dawla*.

The *Iftitah al-Da’wa wa Ibtida’ al-Dawla*

The very title of al-Nu’man’s work—*Iftitah al-Da’wa wa Ibtida’ al-Dawla*—announces that the *da’wa* to *dawla* paradigm is the organising principle through which the establishment of the Fatimid state is recounted. While al-Nu’man places greater emphasis on the *da’wa* than the *Akhbar al-‘Abbas* and the *Kitab al-Sira*, the significance of which is noted below, his work reflects the tripartite structure outlined above.

The singular emphasis on the *da’wa* is evident from the onset of al-Nu’man’s *Iftitah* where the introductory paragraphs set out the doctrinal framework regarding the concept of *da’wa* upon which the work is predicated. This manifests as part of al-Nu’man’s articulation of the Shi’i principle of *imāma* that after the death of the Prophet Muhammad, divinely inspired guidance is availed in each era through an imam from his progeny who serves as God’s proof (*ḥujja*) on earth and whose succession and continuity are guaranteed by God.⁸⁵ Al-Nu’man adds that in each region (*jazīra*) of the world there are *dā’īs* who act as guides to the imam. He continues that while there have been many such *dā’īs*, his concern in this work is to relate the *da’wa* of the Maghrib that culminated in the era of al-Mahdi bi’llah.⁸⁶ The *raison d’être* of the *Iftitah* is therefore articulated as a documentation of the *da’wa* that led to the proclamation of this righteous imam.

The mainstay of the *Iftitah al-Da’wa* is concerned with the history of the Isma’ili *da’wa* in North Africa under the leadership of the *dā’ī* Abu ‘Abdallah al-Shi’i.

It commences however, with a detailed rendition of the Isma'ili *da'wa* in Yemen that preceded that of North Africa, thus providing an account of the Isma'ili *dā'ī* Ibn Hawshab, an Iraqi Ithna 'Ashari Shi'i who converted to the Isma'ili *da'wa* during the *dawr al-satr* (period of concealment), the term used in Isma'ili doctrine to denote the age of hidden Isma'ili imams prior to their public manifestation through the founding of the Fatimid state.⁸⁷ Following his conversion, Ibn Hawshab was sent to Yemen by the imam to initiate the Isma'ili *da'wa* there.

In stating his rationale for beginning the work with the *da'wa* in Yemen, al-Nu'man explains that 'it was the basis (*aṣl*) of the mission which we intend to relate,' and because the *dā'ī* 'Abu 'Abdallah, 'received instruction from the head of its *da'wa* and followed his moral example.'⁸⁸ For al-Nu'man, it was therefore critical to underwrite the credentials of the North African *da'wa* through its linkage to the *da'wa* in Yemen, which was initiated by the Isma'ili imam himself. Accordingly, al-Nu'man first provides an evocative account of the imam's conversion of the *dā'ī* Ibn Hawshab into the *da'wa* prior to accounting for the sending of Ibn Hawshab to Yemen.⁸⁹ This is followed by a biography of 'Abu 'Abdallah al-Shi'i, who is sent to Yemen as a disciple of Ibn Hawshab.⁹⁰

The sending of Abu 'Abdallah from Yemen to North Africa earmarks the real focus of the *Iftitah*. Like the *Akhbar*, the *Iftitah* also seeks to provide a 'genealogical linkage' between the imam, his *dā'īs* and the latest adherents of the Isma'ili *da'wa*. At each stage following Abu 'Abdallah's arrival in Ifriqiya, the role of this *dā'ī* as the initiator of further adherents into the *da'wa* is delineated. The *dā'ī* thus becomes the medium through whom many of the Kutama Berbers and others take the oath of allegiance (*'ahd*) to the hidden Isma'ili imam.⁹¹ This linkage is promoted in the text by accounts concerning those whom Abu 'Abdallah had trained, and who themselves then served as *dā'īs* in their own regions. This culminates through reports of a groundswell of support as evidenced at Ikjan, a *dār al-hijra*, a place of refuge set up following the precedent of the Prophet Muhammad, where 'people came to him from everywhere, and later at Tazrut, when people came to him from everywhere, and his cause became manifest.'⁹²

The *Iftitah* is infused with reports regarding prophecies and 'knowledge of predictions (*'ilm al-ḥadathān*)' that foretell God's preordainment of the reign of al-Mahdi bi'llah. These reflect Donner's 'theme of preparation' outlined above. As Poonawala has noted, the *Iftitah's* introductory segment seeks above all to present the notion of 'divine endorsement' especially when relating Ibn Hawshab's initiation of the *da'wa* in Yemen.⁹³ Belief in God's sanction and succour for the founding of the Fatimid *dawla* thus forms the bedrock of the *Iftitah*. Accordingly, in the opening sentences al-Nu'man offers praise to God as 'the supporter of the truth and helper of its adherents'. He quotes Qur'anic excerpts that, read together, announce God's promise of victory and inheritance for His party, His forces, and His righteous servants.⁹⁴ It is this doctrinal framing that underpins the *Iftitah's* 'theme of preparation' through a number of elucidations.

The Isma'ili *da'wa* was predicated on the belief in the appearance of the *Mahdi*, a central theme that is evident in the *da'wa* literature.⁹⁵ Al-Nu'man composed a work dedicated to the subject titled *Kitab Ma'alim al-Mahdi*, to which he refers his readers in the *Iftitah*.⁹⁶ Beyond this, signs and prophecies regarding the immanence of the *Mahdi* are woven throughout the text, including those pertaining to Ibn Hawshab and Abu 'Abdallah al-Shi'i.

Significantly, al-Nu'man also attributes prophecies related to the Mahdi to the opponents of the *da'wa*. In one of the few departures from the chronological rendering of events, it relates at length that the Aghlabid *amīrs*, their family and many in the region already knew the prophecies regarding the Mahdi. Hence, the reigning Aghlabid amir Ibrahim bin Ahmad (r. 261–289/875–902) is said to have 'paled' when receiving a letter from Abu 'Abdallah al-Shi'i, as the former had a 'liking for the science of predictions and future events (*'ilm al-ḥadathān wa akhbārī mā yakun*).'⁹⁷ Thereafter, al-Nu'man states that elements of the Aghlabid household had 'Shi'i leanings' and 'reported accounts of the Mahdi ... on the authority of the Prophet ... and studied books of predictions and tales of future events (*wa yadrusūn kutuba'l-ḥadathān wa'l-akhbār ammā yakūn*).'⁹⁸ Ibrahim is reported in the text to have 'understood all this and pursued it.' The *Iftitah* then relates the Aghlabid *amīr's* visitation to an unnamed poet who was 'well-versed in the science of predictions (*'ilm al-ḥadathān*)' before reproducing verses that it labels as having been 'widespread.'⁹⁹ Cumulatively, these verses foreordain the end of Abbasid dominion over the Maghrib, announcing that their reign will only extend to twenty governors.¹⁰⁰ They then proclaim the future rising of the 'sun of God from the west'¹⁰¹ and the emergence of a son of Fatima, who 'will fill God's earth with justice and mercy.'¹⁰²

Elsewhere, al-Nu'man quotes verses from an otherwise unknown poet, Ibn 'Aqib, proclaiming the conquering armies of the 'Imam of Guidance' being 'led by a mature man learned in the books (of prophesies).'¹⁰³ Notable also is a later report in which Ibrahim bin Ahmad advises his son Abu'l-'Abbas to flee to Sicily when he witnesses the advance of Abu 'Abdallah al-Shi'i, for 'he is the one who will put an end to our dynasty.'¹⁰⁴

The demarcations of the new community are also described in the *Iftitah* through a detailed description of its organization and communal identity. At the apex of the *da'wa* is the imam himself, as described in the imam's role in the instruction and conversion of Ibn Hawshab. Notable also in elucidating this theme is the *Iftitah's* coverage of Abu 'Abdallah al-Shi'i's reorganization of the tribal structure of the Kutama Berbers of Ifriqiya. He states that Abu 'Abdallah 'divided the Kutama into seven sections (*ashbā'an*) ... He appointed in every locality a *dā'ī*. He called the commanders and senior *dā'īs* *mashāyikh*.'¹⁰⁵

The genesis of a new communal identity similarly forms an integral part of the text,¹⁰⁶ with descriptions of the ties engendered between the new adherents.¹⁰⁷ Amongst them al-Nu'man notes that people were called 'brethren (*ikhwān*)' and formed bonds with each other, privately and publicly.¹⁰⁸ This was credited to

the actions of the *dā'ī* Abu 'Abdallah, who would 'elucidate to them the reward of mutual bonds between them (*al-tawāṣul baynahum*)' so much so that 'no community or generation behaved like they did.'¹⁰⁹ This communal cohesiveness is depicted in the *Iftitah* as an ideal, such that 'a community like them had not been seen or known about.'¹¹⁰

Writing in the mid-fourth/tenth century with retrospective knowledge of the conflagrations between certain segments of the *da'wa* and al-Mahdi bi'llah, al-Nu'man adds discerning caveats about the emergence of the new community and the existence of insincere elements within the fold. He notes that with the increasing success of the *dā'īs*, there were in Ifriqiya entire regions in which 'no one remained without entering the *da'wa*.' However, he adds that those who adhered to the *da'wa* either did so 'willingly' or 'out of fear.'¹¹¹ Subsequently, he provides a graded division of these adherents with the most pious at the highest level and the hypocrites and deceivers in the lowest rung. He adds that the latter were 'tolerated' just as the Prophet Muhammad had once 'tolerated the hypocrites in Medina.'¹¹²

The *Futuh* and the Establishment of the *Dawla*.

From the onset of the *Iftitah*, al-Nu'man draws upon the notion of *futūḥ* whereby the *da'wa* progresses towards establishing a *dawla*. Early on, he labels Ibn Hawshab's first conquests in Yemen as *futūḥ*.¹¹³ He refers similarly to the *da'wa*'s salient conquest of the city of Mila in the Maghrib in 289/902.¹¹⁴

However, it is after the text's reporting of the emigration (*hijra*) of al-Mahdi bi'llah from Sijilmasa in that same year, and the subsequent account of the *da'wa*'s conquest of Satif in 291/904, that al-Nu'man embarks on an extended *futūḥ* narrative.¹¹⁵ He devotes the next thirty-eight pages of the Arabic text to detailing the major battles and events that culminated in the *da'wa*'s final defeat of the Aghlabids and the conquest of Qayrawan in 296/909.¹¹⁶ The *futūḥ* theme culminates with a vivid description of the final battle against enemy forces on Saturday 23 Jumada II 296/19 March 909, leading to the *iftitāḥ* of the town of Urbus and the collapse of Aghlabid rule.¹¹⁷ The arrival of Abu 'Abdallah al-Shi'i in Raqqada and his subsequent conquest of Qayrawan provide the endpoint of this major excursion.¹¹⁸

In his account, al-Nu'man deploys a number of *futūḥ*-related motifs found in wider historiographical writing. Prominent is the assertion that such conquests were preordained and aided by God. This is illustrated in al-Nu'man's citing of Ibn 'Aqib's verses regarding al-Bayda, another name for the future Fatimid capital al-Mahdiyya: 'From it the whole earth will be conquered.'¹¹⁹

The *Iftitah*'s assertion of God's direct aid for the *da'wa* is especially evident in its rendition of the first major battle of the *da'wa* sometime before 289/902. This saw the gathering of a coalition of the major Berber tribes around the city of Mila to confront the forces of Abu 'Abdallah al-Shi'i. The forces of the *da'wa* are described

as being surrounded on all sides by the coalition.¹²⁰ That God's direct aid would assure them victory is alluded to when the supporters of the *dā'īs* proclaim that they will not surrender until God 'grants us victory (*yaftaḥ Allāhū lanā*)' or they die to the last man.¹²¹ Following the defeat of the opposition troops from Satif, the text pronounces that it was God who, 'put them to flight before them.'¹²² When seeking to conclude the larger report on the *da'wa*'s victory, al-Nu'man relates that 'God dispersed the troops that had banded together against them.'¹²³

The pivotal role of the Isma'ili imam in the issuance of these *futūḥāt* is similarly established in the text through reference to Abu 'Abdallah al-Shi'i's correspondence with al-Mahdi bi'llah. In his letter regarding the *iftitāḥ* of Satif, the *dā'ī* thus announces 'the victory which God had granted him (*bimā wahaba Allāh min dhālikā l-fatḥ*)'. Al-Nu'man then adduces the imam's blessing (*baraka*) as having agency in the realisation of this conquest.¹²⁴

Despite al-Nu'man's doctrinal framing and use of literary motifs, he nonetheless seeks to provide a realistic narrative to maintain the work's integrity and ensure its acceptance in the broader tradition. Thus, in the process of the *futūḥ*, defeats, retreats or failures of the *da'wa* are also recorded. In the first major campaign against the Aghlabids, the text details two occasions on which Abu 'Abdallah's army was routed, with snowfall delaying the Aghlabid march, thus enabling the *da'wa* side to regroup. It was this fortuitous turn of events that led to the chance defeat of a minor Aghlabid detachment, contributing to the demoralisation and retreat of the Aghlabid army.¹²⁵ Accounts of major losses similarly mark the narrative of the conquest at Satif.¹²⁶

The entry of Abu 'Abdallah al-Shi'i into the Aghlabid capital at Raqqada in 297/909 marks the transition from *da'wa* to *dawla*. Here, the third stage of the process commences where administrative and dynastic historiography are brought to the fore. Al-Nu'man relates Abu 'Abdallah's pronouncement of a general amnesty to the people of Qayrawan (*amān*), his dispatch of governors (*ummāl*) to the neighbouring regions, his appointment of a new head of the judiciary, and changes in coinage as well as in the public liturgy to reflect Shi'i formulae.¹²⁷ However, the real commencement of the *dawla* phase of the text begins with the appearance (*zuhūr*) of the Isma'ili imam following Abu 'Abdallah's departure to free al-Mahdi bi'llah from Sijilmasa.¹²⁸ The 'theme of preparation' is brought to a close by a rendering of the successes of the *da'wa* epitomised through the positioning of the adversities that al-Mahdi faced during his migration from Syria to Ifriqiya as 'proofs and portents (*barāhīn wa-dalā'il*)' of God's decree regarding 'al-Mahdi's cause.'¹²⁹

The text relates the journey of al-Mahdi bi'llah and his *dā'ī* from Sijilmasa to Qayrawan, with al-Mahdi being announced as caliph (*fa du'īya bi'l-khilāfa*) after his arrival in Raqqada on 21 Rabi' II 297/7 January 910.¹³⁰ With this, the narrative of the *dawla* formally begins. Al-Nu'man recounts the administrative and political policies of al-Mahdi, including his 'organisation of the administrative departments

and orders to levy taxes.¹³¹ Subsequently, he describes the affair of the ‘hypocrites’ namely those in the *da’wa* who sought to depose al-Mahdi, including Abu ‘Abdallah, which led to the demise of the latter.¹³² It is with these proclamations that the *origins* narratives of the *Iftitah* comes to a close, followed by a brief survey of the major events of the Fatimid *dawla* until the year of its composition.

The *Kitab al-Sira*

The shared origins framework is also evident in the narratives pertaining to the origins of the Rustamid state in the *Kitab al-Sira*. This is conveyed through the use of conceptual terminology particularly relevant to ‘Ibadi doctrine, variances which may be ascribed to distinctions in doctrine between the Shi‘i and ‘Ibadi traditions. While Isma‘ili doctrine upholds a continuous line of imams regardless of the appearance or disappearance of their ‘dynastic states’ (*dawla*), ‘Ibadi doctrine necessitates the pronouncement of a public imamate as requiring particular qualifications and contexts, and thus it is the ‘imamates’ themselves which could appear or disappear. Nonetheless, the structure of the *Kitab al-Sira* depicts a functioning ‘Ibadi *da’wa* when beginning its foundations narrative of the Rustamid state, which reached its apogee in the five ‘bearers of knowledge’ (*ḥamalāt al-‘ilm*) travelling from Basra to the Maghrib, as the principal cause for the *wilāya* (‘authority’) and *imāma* of the Rustamids. Thus, while in the Fatimid context al-Nu‘man crafts his narrative to report the ‘beginning of the *dawla*’ (*ibtidā’ al-dawla*), in this text, al-Warjalani seeks to demonstrate the ‘beginning of the [Maghribi] imamate’ (*ibtidā’ al-Imāma*).¹³³

Similarly, the term *futūḥ* or its derivatives are not found in the *Kitab al-Sira*. These can be contextualised through the specific circumstances of ‘Ibadi history in this period. In the lead-up to the establishment of the Rustamids, the ‘Ibadi imams of the Maghrib, notably Abu’l-Khattab, secured significant military victories but also suffered major defeats. Moreover, the Rustamid state remained geographically centred on its newly-founded city of Tahert which was surrounded by Berber tribal affiliates and without any strategic urban conquests. Nonetheless, as demonstrated below, in the *Kitab al-Sira* accounts of the military victories of the ‘Ibadis are depicted as ordained through God’s decree and are considered integral to the transition from the *da’wa* to the Rustamid *Imama*.

In its introduction, the *Kitab al-Sira* relates how the ‘Ibadi *da’wa* appeared in North Africa, and how the role of the ‘people of the *da’wa* (*ahl al-da’wa*)’ catalysed the career of the founder of the Rustamids. The first historical report to appear in the text concerns the two famed forebears of the Kharijīs, Salamah bin Sa‘id and ‘Ikrimah, the *mawla* of Ibn ‘Abbas. These two legendary figures are described riding together to the Maghrib ‘upon the same camel’, with Salamah bin Sa‘id ‘pronouncing the *da’wa* for the ‘Ibadis (*yad’ū ila’l-‘Ibāḍiyya*)’ while ‘Ikrimah ‘pronounced the *da’wa* for the Sufriyya (*yad’ū ila’l-Ṣufriyya*).¹³⁴

The text then charts the role of the *Ahl al-da’wa* in the genesis of the career of ‘Abd al-Rahman bin Rustam. In Qayrawan, Ibn Rustam, an adherent of the ‘Ibadis, is advised by an anonymous ‘man from the people of the *da’wa* (*faqāla lahu rajul min ahl al-da’wa*)’ to go to the Iraqi city of Basra to gain knowledge. These two reports on the first *dā’īs* and the dispatch of Ibn Rustam to Basra constitute the opening chapter of the *Kitab al-Sira*.

In the next two chapters, the text elucidates the merits (*faḍā’il*) of the Persians and the Berbers respectively. While ostensibly digressions, these chapters notably provide a narrative on the ‘theme of preparation’ for the upcoming ‘Ibadi state. Seemingly, they reflect a notable regard for the ‘Ibadi imam ‘Abd al-Rahman bin Rustam and his sons, who were of Persian origin, as well as the Berbers of North Africa who formed the bulk of the ‘Ibadis. Significantly however, in both the chapters, sayings of the Prophet Muhammad are adduced that pre-ordain a future role of particular Persians and Berbers as ‘revivers’ of religion, and thus implicitly legitimise and foreordain the formation of the righteous Rustamid state.¹³⁵

That the *Kitab al-Sira* demonstrates a recurring theme of establishing linkages between the ‘Ibadis of the *Maghrib* and the ‘East’ has already been noted.¹³⁶ This is particularly evident in its relaying of the history of the five ‘bearers of knowledge’, five ‘Ibadis who left their homeland in North Africa to seek knowledge from ‘Ibadi scholars of Basra, before returning westward. Included among them was Abu’l-Khattab ‘Abd al-‘A’la bin al-Samh al-Mu‘afari, the first imam of the ‘Ibadis of the *Maghrib*. Also included was ‘Abd al-Rahman bin Rustam al-Farisi, the founder of the future Rustamid state.¹³⁷ Through a description of their education and apprenticeship at the hands of the pre-eminent ‘Ibadi leader Abu ‘Ubayda, the *Kitab al-Sira* weaves the intellectual genealogy of the North African ‘Ibadis, and the founder of the Rustamid state, with the originators of the tradition in Iraq.

Of special import is the narrative of the return of the five ‘bearers of knowledge’ to North Africa, which denotes the transmission of instruction and the establishment of hierarchy in the conduct of the broader ‘Ibadi *da’wa*. They are entrusted with instructions from the ‘Ibadi leader Abu ‘Ubayda on establishing the imamate in the *Maghrib*, stipulating the necessary conditions and the identity of the community’s first imam. Accordingly, Abu ‘Ubayda instructed them that if upon their return, they found, ‘from the people of their *da’wa* what was required in terms of men and strength,’ then they were to appoint an authority from among them, indicating that this should be Abu’l-Khattab.¹³⁸

The second stage of state-formation appears in the subsequent chapters of the *Kitab al-Sira*. An account of the proclamation of the imamate of Abu’l-Khattab in Tripolitania in the year 140/758 is provided, followed by narratives of their immediate seizure of the North African city of Tripoli and subsequent campaigns against Ifriqiya and Qayrawan. The ‘Ibadi conquest of Qayrawan in 141/758 forms a notable account in which God’s active agency is credited for the ‘Ibadis’ military victories. Among the reasons cited for this major conquest, was the sigh of an

oppressed woman who called out, 'O Abu'l-Khattab help me (*aghithni*)...God caused her voice to be carried,' and was thus heard by Abu'l-Khattab in Tripoli.¹³⁹ Elsewhere, the narrative states that, 'God defeated [Qayrawan's] people at the hands of Abu'l-Khattab.'¹⁴⁰

The forceful defeat of Abu'l-Khattab by the Abbasid general Muhammad bin al-Ash'ath al-Khuza'i in 144/761 terminated the 'Ibadi imamate and led to his death. Nonetheless, in the immediate aftermath of 'Ibadi resistance to Abbasid forces, the theme of God's agency in delivering victory to the 'Ibadis is invoked in the text. The *walāya* of the 'Ibadi leader Abu Hatim (Ya'qub bin Habib al-Kindi) is showcased as a major rebellion against the Abbasids, led from the 'Ibadi regions of Jabal Nafusa in Tripolitania in 151/758, and lasting until Abu Hatim's death four years later. Though ephemeral, Abu Hatim's victories are described as 'those ordained by God through his hands...(wherein) God defeated his enemies for him.'¹⁴¹ The final part of the text concerns the origins narratives of the Rustamids. It accounts for the departure of 'Abd al-Rahman bin Rustam and his son Wahb from Qayrawan, the former having served as its governor for Abu'l-Khattab, and it also charts the ascendancy of Ibn Rustam over the 'Ibadi remnants in the western regions of Ifriqiyya.¹⁴²

The *Kitab al-Sira*'s account of the establishment of the city of Tahert showcases the narrative on state-formation, deploying miracles in describing the settlement of the city. It describes how the people of authority (*ahl al-naḍr*) selected Ibn Rustam as their imam. Among his qualities, the most eminent was that he was identified by those around him as being 'one of the five who carried knowledge.'¹⁴³ Having received the *bay'ah*, his Imamate commences. Following the establishment of the Rustamid state, the link to the 'east' is reiterated in the *Kitab al-Sira* which states that when the *ahl al-da'wa* of the 'Ibadiyya informed their brethren in Basra of events in Tahert, the latter sent Ibn Rustam three treasure-loads, thus affirming their continued link to the newly founded Rustamid state.¹⁴⁴

The Role of Knowledge in the *Iftitah*, *Akhbar al-'Abbas* and the *Kitab al-Sira*

It is apparent that the major themes of Muslim origins narratives, and the *da'wa* to *dawla* process, provide the framing features of the *Akhbar al-'Abbas*, the *Iftitah al-Da'wa* and the *Kitab al-Sira*. Yet, al-Nu'man's *Iftitah* also retains certain unique features that stem from its distinct provenance. A comparative analysis of the three works establishes that the role of the *da'wa* held central importance for al-Nu'man in ways that supersede those found in either the *Akhbar* or the *Kitab al-Sira*. Similarly, the role of *'ilm* forms a salient and pervasive feature for al-Nu'man. These characteristics are nonetheless also relevant in their own distinctive ways in the *Akhbar* and the *Kitab al-Sira*. The location of authoritative

bearers of *'ilm* serves as an integral part of the introductory segments of both the *Akhbar al-'Abbas* and the *Kitab al-Sira*.

The biographical entries in the first half of the *Akhbar al-'Abbas* provide in-depth expositions of the forebears of the Abbasids as bearers of magnanimity, nobility, generosity, patience, wisdom and forbearance, piety and sustained worship. Notably, they are also the possessors of authoritative religious knowledge. As noted by Daniels, such reports served as affirmations of the Abbasid claim to the imamate.¹⁴⁵ The *Akhbar*'s approach to knowledge is aptly summed up in its statement that, 'Whosoever wants knowledge, generosity and beauty, let them go to the house of al-'Abbas b. 'Abd al-Muttalib and they will find all of these therein.'¹⁴⁶

From the outset, the focal point of the *Akhbar* is to establish the superiority of the knowledge of 'Abdallah b. 'Abbas b. 'Abd al-Muttalib. This emphasis is also evident across the post second/eighth century Abbasid historiographical tradition.¹⁴⁷ The *'ilm* of 'Abdallah ibn 'Abbas forms a substantive segment of his biographical entry in the *Akhbar*,¹⁴⁸ as epitomised in the well-known statement that 'Abdallah b. 'Abbas was known as the *ḥabr* ('rabbi' or 'learned one') of this *umma* because of his knowledge.'¹⁴⁹ Ibn 'Abbas' proficiency is notably attributed to his attachment to 'Ali b. 'Abi Talib, who is said to have 'nourished him with knowledge.'¹⁵⁰ The *Akhbar* subsequently affirms Ibn 'Abbas' superiority in *'ilm* by reporting statements made by notable figures of early Muslim history.¹⁵¹ These include the second caliph 'Umar b. al-Khattab who calls Ibn 'Abbas 'the most knowledgeable of all people (*a'lam al-nās*).'¹⁵² This appears despite a report in the text that Ibn 'Abbas accosted the caliph by claiming that the Quraysh took the right of the family of the Prophet, implying the right of succession and the caliphate.¹⁵³ These accounts in the *Akhbar* are interspersed with varied reports that highlight the role of Ibn 'Abbas as a teacher to the Basrans, wherein as part of his exhortations, he spurs them on in the pursuit of *'ilm*.¹⁵⁴ As Daniels has noted, the *Akhbar* emphasises Ibn 'Abbas' knowledge by relaying extended narratives that show his ability to outwit his opponents as well as highlighting the superiority of his knowledge in comparison with his contemporaries.¹⁵⁵ Instructive here is the long account that reports how the Byzantine emperor Heraclius (*Hirqal*) wrote to the Umayyad caliph Mu'awiyah, posing him a series of enigmatic questions. When the caliph is told by an unnamed advisor that he will be unable to respond to them, he is advised to write to Ibn 'Abbas, who provides him with the necessary answers.¹⁵⁶

The *Akhbar* emphasises the knowledge of Ibn 'Abbas to a heightened degree, but it is noteworthy that this trait is also evident in the biographical entries on his son and successors: the biographical section on 'Ali b. al-'Abbas emphasises his prayers (*ṣalāt*), majesty (*jalālah*), and generosity (*jūd*). While not directly proclaiming his own knowledge, it nonetheless highlights the transmission of such knowledge in the Abbasid line by showcasing 'Ali b. 'Abbas' knowledge of the future. Accordingly, in a report he provides an interpretation (*ta'wīl*) of his own dream regarding the future of the Abbasid dynasty, in their battles against

the Umayyads and each other.¹⁵⁷ Elsewhere it reports that ‘Ali b. ‘Abdallah was whipped by the Umayyad caliph al-Walid b. ‘Abd al-Malik for proclaiming that the authority (i.e. the caliphate) would soon be transferred to his own sons.¹⁵⁸

The role of knowledge is also emphasised in the *Akhbar*’s lengthy entry on Muhammad b. ‘Ali b. ‘Abdallah b. al-‘Abbas and this includes a section on his ‘knowledge and jurisprudence (*‘ilm wa fiqh*).¹⁵⁹ The text relates a statement by a noted early Muslim scholar, Sa‘id b. Jubayr (d. 94-95/711-712), who proclaims that he wishes not to die until he sees a son of ‘Abdallah b. ‘Abbas pronounce *fatwas* in the way that Ibn ‘Abbas once did. Sa‘id subsequently praises God that he was able to witness the young Muhammad b. ‘Ali b. ‘Abdallah offer a *fatwa* in the mosque in Medina.¹⁶⁰

It is in the *Akhbar*’s rendition of the imamate of Muhammad b. ‘Ali, that knowledge retains primary importance.¹⁶¹ Firstly, this concerns the transmission of esoteric knowledge and prophecies from the ‘Alid household to the Abbasids. When accounting for the famous transfer of the *waṣīyya* of Abu Hashim to Muhammad b. ‘Ali, thus marking the transfer of the line of the imamate from the ‘Alid to the Abbasid lines, the notion of esoteric knowledge is adduced when the *Akhbar* pronounces that Abu Hashim passed the *waṣīyya* and his ‘secrets’ (*asrār*) to Muhammad b. ‘Ali b. ‘Abdallah b. al-‘Abbas.¹⁶²

However, it is in the account of the ‘yellow parchment (*al-ṣāḥifa al-ṣafrā*)’ that the most symbolic reference to the transfer of knowledge is made. The *Akhbar* stipulates that this was a parchment that once belonged to ‘Ali b. Abi Talib. It is pertinent that these narrations in the *Akhbar* should begin with a reference to Abu Ja‘far Muhammad b. Ali, namely the Shi‘i imam Muhammad al-Baqir (d. circa 114-117/733-735) who is asked whether the family of ‘Abbas ‘have received anything from the knowledge (*min al-‘ilmin shay*).’ Al-Baqir replies that, ‘They have the yellow parchment, which used to belong to ‘Ali b. Abi Talib.’¹⁶³ The *Akhbar* describes how the parchment was first passed to a son of ‘Ali b. Abi Talib, Muhammad b. al-Hanafīyya, who passed it to his own son Abu Hashim, who then transferred it to the Abbasid Muhammad b. ‘Ali from whom it finally passed to the latter’s son, Ibrahim. The contents of the yellow parchment are reported in the *Akhbar* as having contained the ‘knowledge of the black banners of Khurasan (*‘ilm rāyāt al-Khurasān al-sūd*)’, as well as the time and manner of their appearance and the identity and description of Abbasid supporters.¹⁶⁴ As a symbol of the knowledge of the ‘Alid household, the transfer of the ‘yellow parchment’ to the Abbasid Muhammad b. ‘Ali thus marks the transfer of knowledge from one line of the Prophet’s clan to another.

The *Akhbar* expressly asserts the significance of knowledge in its qualification of the imamate. The text explains that it seeks to establish proof (*ḥujja*) that Muhammad b. ‘Ali was qualified for the imamate and was thus obliged to receive ‘obedience from the community (*al-tā‘a mina’l-ummatī*).’ Amongst the proofs it cites are that Muhammad b. ‘Ali was the great-grandson of al-Abbas b. ‘Abd al-Muttalib, the uncle of the Prophet, and thus was his heir. Additionally, it states that

Muhammad b. ‘Ali’s virtues, piety and knowledge were unrivalled in his era, that it was he who arose to establish the truth, and that others among the *Ahl al-Bayt* had agreed that he was the ‘possessor of authority’ (*ulu’l-amr*). Finally, it pronounces that authority (*al-amr*) is inherent in him and his sons as it is predicated on these attributes including their innate knowledge (*‘ilm*).¹⁶⁵ While the subsequent sections of the *Akhbar* relay little regarding the importance and transfer of *‘ilm*, its vital qualification in the claim to the imamate of Muhammad b. ‘Ali, and as an inherited feature of the Abbasid claim to authority, is reiterated in the text.

When compared to early Shi‘i notions of authority, ‘Ibadi doctrine does not place the same emphasis on authoritative knowledge as a qualification for the imamate. Nonetheless it is notable that the transfer of *‘ilm* retains an important position in the introductory part of the origins narrative of the Rustamids.

The commencement of the Rustamid state and of the ‘Ibadi presence in the Maghrib is centred on the five ‘bearers of knowledge’ of whom ‘Abd al-Rahman b. Rustam was one. As related in the *Kitab al-Sira*, ‘Abd al-Rahman b. Rustam’s own journey to the east and his return are centred on his desire to seek knowledge and authoritative teaching (*ta‘līm*).¹⁶⁶ The subsequent history of Ibn Rustam in Basra similarly revolves around the same theme. The text reports that when the five, including Ibn Rustam, arrived in Basra and met with Abu ‘Ubayda, ‘they told him that they sought knowledge (*ta‘līm al-‘ilm*).’ Abu ‘Ubayda responded favourably, so they stayed with him for a number of years. Subsequently, ‘having learnt the quantum of knowledge that God had decreed for them,’ they set out to return to their lands in North Africa.¹⁶⁷ It is following this account of the transmission of *‘ilm* that the history of the ‘Ibadi imamate in North Africa proceeds.

The Role of Knowledge in the *Iftitah al-Da‘wa*

In distinction to the *Akhbar al-‘Abbas* and the *Kitab al-Sira*, the acquisition and transmission of knowledge form the cornerstone of al-Nu‘man’s *Iftitah*. Across the text, al-Nu‘man presents divinely aided knowledge as a unique prerogative of the imam. He establishes that it was knowledge that catalysed the success of the *da‘wa* in Ifriqiya, and expends considerable effort in relaying the transmission of knowledge from the Imam to his *dā‘īs*, and through them, to the community at large.

The divinely aided knowledge (*ta‘yīd*) of the imam forms a core doctrinal principle in Imami Shi‘ism and permeates al-Nu‘man’s narrative in the *Iftitah*. This is iterated firstly in accounts that relate the imam’s knowledge of prophecies regarding the advent of the *da‘wa*.¹⁶⁸ For instance, when dispatching ‘Ali b. al-Fadl and Ibn Hawshab to Yemen, the imam asks the former, ‘Do you know ‘Adan La‘a?’ This region in Yemen is later asserted by the imam as the location from where the ‘cause will be manifest.’¹⁶⁹ ‘Ali b. al-Fadl, despite being a native of Yemen, had not heard of the area. Following his arrival in Yemen, Ibn Hawshab is reported

as having enquired about it from the local inhabitants, but none had heard of it.¹⁷⁰ It was only after Ibn Hawshab's fortuitous meeting with a Shi'i Yemeni clan called the Banu Musa, who take the oath of allegiance, that they tell him of other Shi'a in a place called 'Adan La'a. The inhabitants of this town subsequently became stalwarts of the *da'wa* and, through their entry, affirmed the preordained knowledge of the imam residing in Iraq.¹⁷¹

In the *Iftitah's* narrative of Ibn Hawshab's career, the role of knowledge holds centre stage. This highlights the importance of knowledge in the conversion process, as well as the potency of the knowledge of the *dā'ī* after giving his allegiance to the imam. The *Iftitah* begins by noting that Ibn Hawshab was a knowledgeable person who came 'from a learned Shi'i family (*ahlu baytin 'ilmin wa-tashayyu'*).¹⁷² Notably, his conversion to the *da'wa* is predicated on an intellectual process. Having questioned the veracity of the Ithna 'Ashari doctrine following the occultation of the twelfth imam in 260/874, Ibn Hawshab is reported as having subsequently encountered the Isma'ili imam on the banks of the Euphrates, without knowing his true identity. The imam and Ibn Hawshab embark on a series of exchanges that demonstrate the limits of Ibn Hawshab's knowledge of the Mu'tazili doctrine of unity and justice of God as related to the Qur'anic scripture.¹⁷³ This causes turbulence in the future *dā'ī's* mind.¹⁷³ It was this intellectual encounter that ultimately fostered Ibn Hawshab's allegiance to the Isma'ili imam and he then took the oath of allegiance before the imam's representative, who is similarly described as 'a man who possessed deep knowledge (*ma'ahu 'ilm kathīr*).'¹⁷⁴

As the *Iftitah* pertains in the main to the career of Abu 'Abdallah and the Isma'ili *da'wa* community in Ifriqiya, it deploys a number of strategies to relate the role of knowledge in the unfolding of the *da'wa* at the turn of the fourth/tenth century. The text regards possession of knowledge by the *dā'īs* as integral to their success and reiterates the superiority of their knowledge in multiple ways. Notably, it traces the transmission of knowledge through the 'sessions of wisdom (*majālis al-ḥikma*)' that were distinctive to the Isma'ili *da'wa* prior to and after the onset of Fatimid rule.¹⁷⁵

The role of knowledge is central to the *Iftitah's* presentation of Abu 'Abdallah al-Shi'i's career. In the passages introducing the *dā'ī*, his aptitude for learning is highlighted. He is described as 'learned and intelligent (*dhi 'ilmin wa aqlin*)', 'most proficient in the esoteric knowledge and with limited competence in the knowledge of the exoteric.'¹⁷⁶ The transmission of the imam's knowledge to Abu 'Abdallah al-Shi'i is situated in the latter's discipleship under Ibn Hawshab, to whom the *da'ī* was sent in order that the latter 'enlighten him, guide him and instruct him'.¹⁷⁷ Thus while in Yemen, Abu 'Abdallah is reported to have 'attended his [Ibn Hawshab's] instructional sessions and accompanied him on his military expeditions without leaving his side.'¹⁷⁸

Following his discipleship under Ibn Hawshab, the *Iftitah* proceeds to attribute the success of Abu 'Abdallah's mission amongst the Kutama Berbers to the *dā'ī's* knowledge. Having met with the Kutama pilgrims at Mecca and engaged them in

discourse regarding the virtues of 'Ali b. Abi Talib, it was Abu 'Abdallah's conduct, and particularly his knowledge, that bolstered his authority amongst these pilgrims. The Kutama are described as having 'heard from him something new, the like of which they had not heard.' 'They sought his opinion and he gave it to them (*yastaftūnahū fa-yuftīhim*).'¹⁷⁹ Their invitation to Abu 'Abdallah to travel to Kutama territories arose principally from his position as a teacher, whereby the Kutama said to him, 'We do not think you will find a country more advantageous for you to teach in (*fi'l-ta'īmī*) than ours.'¹⁸⁰

Furthermore, the *Iftitah* adopts three principal approaches to validate the superiority of the *dā'ī's* knowledge. Firstly, it traces intellectual linkages between Abu 'Abdallah and earlier Shi'i scholars in North Africa, connecting them to the pivotal Shi'i imam, Abu 'Abdallah Ja'far b. Muhammad al-Sadiq (d. 148/765).¹⁸¹ The *Iftitah* narrates that in the year 145/762-763, Imam al-Sadiq sent two individuals called Abu Sufyan and al-Hulwani to Ifriqiya to 'spread the exoteric knowledge of the imams from the progeny of Muhammad (*an yabsatā zāhira 'ilmi'l-a'immatī*).'¹⁸² This led people from different tribes including the Kutama to adopt Shi'ism. Notably, they are described in this text as labourers ploughing the land in anticipation of the one coming to sow the seeds.¹⁸³

While al-Nu'man is explicit that 135 years had elapsed between the sending of the two *dā'īs* and the arrival of Abu 'Abdallah al-Shi'i in North Africa, he nonetheless maintains a living connection between them through reports of a follower of al-Hulwani called Abu al-Muffatish who is said to have studied with al-Hulwani as a very young boy and then with his disciples. The now aged Abu'l-Muffatish is reported to have met with Abu 'Abdallah in the final years of his life.¹⁸⁴

Upon arriving in Kutama territory, Abu 'Abdallah al-Shi'i is reported to have been met by three figures including Abu 'Abdallah al-Andalusi and the aforementioned Abu al-Muffatish, both of whose knowledge and expertise is emphasised in the text.¹⁸⁵ Abu 'Abdallah al-Shi'i's own erudition is confirmed when the *Iftitah* narrates how he 'talked with them at length and brought them clarification.'¹⁸⁶ The text then has Abu'l-Muffatish voicing his impression that Abu 'Abdallah is the 'sower of seeds' who had been previously foreordained, 'By God, I do think that you are the sower (*ṣāhibā'l-badhri*) announced by al-Hulwani.' Yet, Abu'l-Muffatish remained doubtful because Abu 'Abdallah did not fulfil certain criteria that al-Hulwani had pronounced, namely that the *dā'ī* would have a 'finger in his mouth (*fi fīyahī asba'un*)'. When subsequently Abu 'Abdallah administers the oath of allegiance to his followers and orders them to remain silent, he places a finger on his mouth to symbolise the order of secrecy (*kitmān*). He clarifies that this was 'what al-Hulwani was talking about' and negates the physical possibility of having a finger in one's mouth.¹⁸⁷ Through this series of reports, the *Iftitah* confirms the *dā'ī's* knowledge, affirms his intellectual heritage to Imam Ja'far al-Sadiq, and establishes that the *dā'ī's* own understanding of al-Hulwani's teaching superseded that of Abu al-Muffatish.¹⁸⁸

The second method adopted by the *Iftitah* to showcase Abu 'Abdallah's knowledge concerns his preordained awareness of a geographical place, similar to that noted above with the imam and Ibn Hawshab. Upon his arrival the *dā'ī*, having never been in Ifriqiya before, asks the Kutama 'in which locality among you is a ravine called *Fajj al-Akhyar* (the Ravine of the Righteous)?' Rather amazed, the people 'looked at each other as if they understood that he already knew the place.' When recognising the location upon his arrival, the *dā'ī* is asked by his companions, 'from where did you learn its name?' To which Abu 'Abdallah replied that this knowledge came from a hadith about the emergence of the Mahdi and the future role of the Kutama, which was the cause for this place to be so named.¹⁸⁹

The third method similarly serves to confirm the superiority of the *dā'ī*'s knowledge and establishes its centrality in the success of the *da'wa*. This pertains to the *Iftitah*'s account of the reluctance of the enemies of the *da'wa* to engage in direct disputation with Abu 'Abdallah al-Shi'i. In several instances, the Arab and Berber chieftains express their reluctance at having their own scholars (*'ulamā*) engage with the *dā'ī* in public disputations (*munāzarāt*). This concern is explained as stemming from their fear that Abu 'Abdallah 'would win the argument' and gain greater stature.¹⁹⁰ In one such instance, the *Iftitah* presents their argument thus:

He (Abu 'Abdallah) is a man from the people of the east who, as you know, are devils. Our ulama are Berbers, a people who do not have that intelligence. If they were to debate, he would win the argument against them, and they will find no argument against him. This will increase the seduction through him and strengthen his cause.¹⁹¹

Along with the confirmation of the knowledge of the *dā'ī*, the *Iftitah* also recounts the transmission of knowledge from him to the adherents of the *da'wa*, thereby linking the knowledge of the imam to the followers. Upon his arrival in Ifriqiya, the *Iftitah* relates that Abu 'Abdallah began holding 'instructional sessions (*majālis*)' particularly on the virtues of 'Ali b. Abi Talib.¹⁹² That these were successful is related through the *dā'ī* administering the oath of allegiance to those who were well-disposed and had 'retained his teachings.'¹⁹³

In speaking of the spread and fame of the *da'wa*, the *Iftitah* relates the chain of transmission of knowledge, as existing adherents attracted others through their pious conduct and charitable works, thus augmenting the pool of followers who returned 'frequently to listen to him (Abu 'Abdallah).' Specific accounts of teaching sessions are similarly provided, such as when Abu 'Abdallah visited the Banu Saktan, the *Iftitah* relates that 'they vacated for him a place to sit and listen to his instruction (*samā'*).'¹⁹⁴ Notably, the *Iftitah* also provides detailed descriptions of Abu 'Abdallah's teaching sessions:

Among his policies was also to take great care to give them advice,

remind them and repeat to them exhortation and wisdom (*bi'l-waṣāya wa'l-tadhkira*). He would gather them for this purpose and hold sessions (*majālis*) for them most of his days. He would order the *dā'īs* whom he dispatched to do the same and train them for this purpose. Most of their days were spent attending assemblies and listening to sermons (*aktharuhā mashāhid, wa-samā' mawā'iz*).¹⁹⁵

Descriptions in the *Iftitah* of such teaching sessions also extended to women. Female adherents of the *da'wa* are reported to have attended the *majālis* and listened to words of wisdom (*yashhadnā'l-majālisā wa yasma'na'l-ḥikma*). It also includes attestations of those who followed these sessions and rose to the rank of *dā'īs*, including Umm Musa, the daughter of al-Hulwani.¹⁹⁶

The importance of the *majālis* is clear across the *Iftitah* and becomes a recurring feature of the narrative:

Abu 'Abd Allah dispatched *dā'īs* to the tribes and himself conducted instructional sessions (*majālis*), sitting in session every day for the believers, relating hadith to them and giving them explanations. He ordered the *dā'īs* to do the same. The believers became sincere in their intent, their understanding increased and their condition became sound.¹⁹⁷



This paper set out to examine Fatimid origins narratives through a study of the *Iftitah al-Da'wa* of Qadi al-Nu'man and to compare this text with two contemporary counterparts, the *Akhbar al-Abbas* and the *Kitab al-Sira* of al-Warjalani. The discussion demonstrates that the *Iftitah* displayed the same core themes that shaped early Muslim historiography and that the *da'wa* to *dawla* paradigm was shared across the three noted works. Knowledge and its transmission across various segments of the *da'wa* occupied a paramount position in the Fatimid origins narrative, while assuming a lesser degree of potency in the *Akhbar* and the *Kitab al-Sira*.

The differences in al-Nu'man's narrative can be attributed to the work's provenance. Unlike either the *Akhbar* or the *Kitab al-Sira*, al-Nu'man's work was produced under the direct instruction of a reigning imam-caliph, al-Mu'izz li-Din Allah, who himself issued instructions for its production, surveyed its contents and approved its text before its dissemination.¹⁹⁸

Significantly, the Isma'ili *da'wa* retained its salience through the course of the Fatimid Empire. Unlike the Abbasid *da'wa* which was disbanded following the killing of Abu Muslim al-Khurasani and the founding of the Abbasid state, the Isma'ili *da'wa*, survived the killing of Abu 'Abdallah al-Shi'i, and became an integral part of the state apparatus.¹⁹⁹ *Dā'īs* continued to hold teaching sessions

in the Fatimid lands and beyond, and the *da'wa* continued to promote the authority of the Fatimid sovereigns. As an author and a scholar, al-Nu'man was above all a *dā'ī* himself, who held his own *majālis* in the palace of the imam.²⁰⁰ In composing the origins narrative of the Fatimid realms, he demonstrates his familiarity and acumen in positioning the Fatimid paradigm within the larger frame of Muslim historiography.

Notes

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- Manṣur al-'Azīz al-Jawdhari, *Sirat al-Ustadh Jawdhar*, eds. Muhammad Kamil Husayn and Muhammad 'Abd al-Hadi Sha'ira, Cairo 1954; French trans. Marius Canard, *Vie de l'ustadh Jaudhar (contenant sermons, lettres, et rescripts des premiers califes Fātimides)*, Algiers 1958. The most recent critical Arabic edition and English translation is Hamid Haji, *Inside the Immaculate Portal: A History from Early Fatimid Archives: A New Edition and English Translation of Manṣūr al-'Azīz al-Jawdhari's Biography of al-Ustādh Jawdhar*, London 2012.
- Muhammad bin Muhammad al-Yamani, *Sirat al-hajib Ja'far bin 'Alī wa-khuruj al-Mahdi min Salamiyya*, ed. Wladimir Ivanow

- in *Majallat Kulliyat al-Adab, al-Jami'a al-Miṣriyya/Bulletin of the Faculty of Arts, University of Egypt*, 4/2, 1936, 107–133; English trans. W. Ivanow in *Ismaili Tradition*, 184–223. French trans. M. Canard, 'L'autobiographie d'un chamberlain du Mahdi 'Obeidallāb le Fātimide', *Hespéris* 39, 1952, 279–324.
- Franz Rosenthal, *A History of Muslim Historiography*, 2nd edition, Leiden 1968, 87.
 - Akhbar al-dawla al-'Abbasiya wa fihi akhbar al-'Abbas wa waladihi: A history of the Abbasid Movement, Reflecting Abbasid Trends and Ideas before the Time of al-Mahdi. Written in the Third/Ninth century, by an Unknown Author*, eds. 'Abd al-'Aziz al-Duri and 'Abd al-Jabbar al-Muttalibi, Beirut 1971 (henceforth *Akhbar al-'Abbas*).
 - Abi Zakariya' Yahya bin Abi Bakr, *Kitab Siyar al-A'imma wa-Akhbarihim: al-ma'ruf bi-tarikh Abi Zakariya'*, ed. Isma'il al-'Arabi, 2nd edition, Beirut 1975.
 - The term *da'wa*, literally meaning a 'call' or an 'invitation' refers to a religio-political 'mission' that called for recognition of an imam. The cognate *dā'ī* thus refers to a 'missionary' or one who embarks on public calls for allegiance. *Dawla*, with the original meaning of 'turn' or 'cycle', by the fourth/tenth century had come to mean a 'dynastic state'. For an overview of the usage of the terms see Franz Rosenthal, 'Dawla', EP and Maurice Canard, 'Da'wa', EP. For the history of the *da'wa* in the Isma'ili context see Daftary, *Isma'īlis*, 98–116.
 - The pioneering works of Vladimir Ivanow were instrumental in bringing the *Istītar al-Imam* and *Sirat Ja'far al-Hajib* to the fore and for emphasizing the significance of al-Nu'man's *Iftitah*. See Ivanow, *Ismaili Tradition*. Thereafter other scholars including Wadad Qadi, Farhat Dachraoui, Maurice Canard and Hamid Haji have provided scholarly commentary to accompany their critical editions and translations of the *Iftitah* and *Sirat Jawdhar* in their respective introductions to their editions of the works. For their editions see notes 1–4 above.
 - Such works include that of Wilferd Madelung who used information presented in the *Iftitah*, the *Sirat Jawdhar* and the *Istītar al-Imam*, along with several other early Isma'ili texts, in his still-authoritative exposition of early Isma'ili doctrine. See Wilferd Madelung, 'Das Imamatum in der frühen ismailitischen Lehre' in *Der Islam*, 37.1–3, 1961, 66, 76, 78. Works focused on polemics regarding Fatimid genealogy made extensive use of the data presented, for example Bernard Lewis, *The Origins of Isma'īlism: A Study of the Historical Background for the Fatimid Caliphate*, Cambridge 1940, 16ff. Similarly, major works on the North African phase of Fatimid history rely heavily on the historical information presented in the corpus e.g. Farhat Dachraoui,

- Califat fatimide au Maghreb, 296-362/909-973: Histoire politique et institutions*, Tunis 1981; Halm, *Empire*; Brett, *Rise of the Fatimids*.
- Hamdani provided an initial survey of the background and summaries of texts by Fatimid writers thus contributing to the rediscovery of these materials; see Husayn Hamdani, 'Fatimid History and Historians', *Religion, Learning and Science in the 'Abbasid Period*, ed. M. J. L. Young et al., Cambridge 1990, 234–47.
 - Walker's work provides a comprehensive survey of the literary and documentary source material pertaining to Fatimid history from the fourth/tenth century to the medieval Mamluk period. See Paul Walker, *Exploring an Islamic Empire: Fatimid History and its Sources*, London 2002, 15–91. While Walker notes that much of Fatimid historical writing belongs to the North African phase, he argues that such historical writing was seemingly a 'low-priority' for Fatimid authors, with the critical caveat that 'memoirs' are excluded from this classification. Indeed, for Walker one of the remarkable features of this literature is that it focuses on 'personal memoirs rather than histories', Walker, *Exploring an Islamic Empire*, 193.
 - Brett emphasises the theological imprint present in Fatimid as well as Sunni Ifriqiyān sources for this period, arguing that as these were 'sacred histories', such texts oscillated between 'a version of the past and a commentary upon the events as they took place.' Adducing Wansbrough in this analysis, Brett maintains the latter's objection that they were not devoid of ideological purpose, and that rather, such sources were predicated 'upon the familiar themes of Islamic historiography,' which in this case are linked to 'the fate of the Abbasid Caliphate and the success of the Fatimid revolution.' See Brett, *Rise of the Fatimids*, 8–12.
 - Ismail K. Poonawala, 'The beginning of the Ismaili Da'wa and the Establishment of the Fatimid Dynasty as Commemorated by al-Qāḍī al-Nu'mān', *Culture and Memory in Medieval Islam: Essays in Honour of Wilferd Madelung*, eds. Farhad Daftary and Josef W. Meri, London 2003, 338.
 - For an extended summary of the contents see Haji, *Founding*, 7–11. For a detailed French summary of the main sections of the work see Farhat Dachraoui, introduction to *Iftitah al-Da'wa*, by Qadi al-Nu'man, 39–143.
 - Scholarly literature on Qadi al-Nu'man is substantial, encompassing studies on Fatimid doctrine, law and history. For a survey of earlier twentieth-century scholarship on al-Nu'man see Farhat Dachraoui, 'al-Nu'mān', EP. For a catalogue of al-Nu'man's writings both extant and non-extant, see Ismail K. Poonawala, *Biobibliography of Isma'ili Literature*, Malibu 1977, 48–68; Farhad Daftary, *Ismaili Literature: A Bibliography of Sources and Studies*, London 2004, 142–146. Scholarship on al-Nu'man has continued apace over the past decade. An important recent article by Madelung illuminates the often nebulous aspects of al-Nu'man's early life and education, see Wilferd Madelung, 'The Youth and Education of the Qāḍī Abū Ḥanfifa al-Nu'mān', *Islam: Identité, Altérité, Hommage à Guy Monnot*, ed. Mohammad-Ali Amir-Moezzi, Turnhout 2013, 331–341. Devin Stewart has also recently composed a critical edition and translation of al-Nu'man's *Kitab Ikhtilaf Usul al-Madhahib* in al-Qadi al-Nu'man, *The Disagreements of the Jurists: A Manual of Islamic Legal Theory*, ed. and trans. Devin Stewart, New York 2015. Poonawala has recently published a revised chronology of al-Nu'man's publications including an up-to-date commentary on previous scholarship on al-Nu'man, see Ismail K. Poonawala, 'The Chronology of al-Qāḍī al-Nu'mān's Works', *Arabica* 65.1/2, 2018, 84–162.

- Poonawala, 'The beginning of the Ismaili Da'wa', 338.
- Poonawala, 'The beginning of the Ismaili Da'wa', 339.
- Sumaiya A. Hamdani, *Between Revolution and State: The Path to Fatimid Statehood*, London 2006.
- Haji, *Founding the Fatimid State*, 2.
- While the biographies themselves have not survived, their existence is known mainly from allusions in al-Nu'man's other works. These included the *Kitab Ma'alim al-Mahdi* (The Signs of the Mahdi), *Sirat al-Qa'im*, *Sirat al-Mansur* and *Sirat al-Mu'izz*, see Poonawala, *Chronology*, 112, 115, 120, 151. *Sirat al-Mu'izz* constitutes a distinct genre of historical writing, as a work written in verse that relays al-Mu'izz's biography and the major events of his reign in the first part, with the second part offering an analysis of al-Mu'izz's orations. The fuller title of the work is *al-Urjuza al-mawsuma bi-dhat al-minan fi sirat al-Imam al-Mu'izz li-Din Allah*, see Poonawala, *Chronology*, 151–153.
- Brett refers to it as 'the dynasty's own version of the history of the revolution in North Africa' and 'the new dynasty's account of its origins', Brett, *Rise of the Fatimids*, 49. Poonawala similarly describes the work as being compiled by al-Nu'man to retrieve 'officially sanctioned view about the beginning of the *da'wa* and the establishment of the *dawla*', Poonawala, 'The beginning of the Ismaili Da'wa', 340. Notably, other foundation narratives of the Fatimid state, such as that of al-Jazzar (d.369/979), a prominent physician and historian, have not survived the vagaries of time and circumstance. Walker, *Exploring an Islamic Empire*, 178.
- As Dachraoui has noted, it is apparent that as regards the history of the Fatimids in North Africa, it is the *Iftitah* that serves as the principal source on which Ibn 'Idhari's *Bayan al-Mughrib*, Ibn al-Athir's *al-Kamil*, Maqrizi's *Itti'az*, Nuwayri's *Nihaya*, Ibn Khaldun's *al-Ibar*, Ibn al-Khatib's *A'mal al-A'lam*, Ibn Hammad's *Akhbar Muluk Banu 'Ubayd* and Ibn Zakayria's *Kitab al-Sira* have based their renditions. Dachraoui concludes that it is apparent 'from the time of al-Nu'man to the time of Ibn Khaldun', that 'the historians of the *Ahl al-Sunnah* trusted the tradition of al-Nu'man, and considered him a trustworthy historian, though he was the official historian of a Shi'i state.' See Dachraoui's introduction to the *Iftitah*, by Qadi al-Nu'man, *dal*.
- See the introduction to Idris 'Imad al-Din, *The Founder of Cairo: The Fatimid Imam-Caliph al-Mu'izz and his Era: An English Translation of the Text on al-Mu'izz from Idris 'Imad al-Din's 'Uyūn al-Akhbār*, trans. Shainool Jiwa, London 2013, 42–44 where this approach has been discussed in relation to Fatimid history. For a comparative analysis of Fatimid salvation history as composed by the medieval Isma'ili writer Idris 'Imad al-Din with contemporary Sunni historiography of the Fatimids see Shainool Jiwa, 'Historical Representation of a Fatimid Imam-Caliph: Exploring al-Maqrīzī and Idrīs' Writings on al-Mu'izz li-Dīn Allāh', *Alifba: Studi Arabo-Islamici e Mediterranei*, 22, 2008, 57–70. Poonawala, 'The beginning of the Ismaili Da'wa', 340, notes that 'history for al-Nu'man is not a bare collection of discrete accounts of the past and their enumeration, but it serves a more noble purpose of imparting lessons and wisdom,' and that 'al-Nu'man's representation of the past history of the *da'wa* and the establishment of the *dawla* is thus significant because of its meaningfulness of the present.'
- Lindsay and Poonawala highlight how the *Iftitah* symbolically parallels precedents in the career of the Prophet Muhammad in Medina to the mission of the Isma'ili *da'wa* in Ifriqiya: see James E Lindsay, 'Prophetic Parallels in Abu 'Abd Allah Al-Shi'i's Mission among the Kutama Berbers, 893–910', *International*

- Journal of Middle East Studies* 24/1, 1992, 39–56 and Poonawala, 'The beginning of the Ismaili Da'wa', 338–364. Elsewhere, an analysis of the *Iftitah* as the primary document for a study of the messianic doctrines of the early Isma'ili *da'wa* and its evolution in the North African context form the subject of Tilman Nagel's monograph, *Frühe Ismailiya und Fatimiden im Lichte der Risālat Iftitāh ad-Da'wa: eine religionsgeschichtliche Studie*, Bonn 1972.
27. Elton L. Daniel, 'The Anonymous "History of the Abbasid Family" and Its Place in Islamic Historiography', *International Journal of Middle East Studies* 14/4, 1982, 425.
 28. An introduction to the work prior to its publication was provided in 'Abd al-'Aziz al-Duri, 'Daw' jadīd 'alā al-da'wah al-'Abbāsiyyah', *Majallat Kulliyat al-Adab wa'l-Ulum*, 2, 1957, 64–82. Subsequent works that provided commentary on the importance of the work include Farouk Omar, *The Abbasid Caliphate, 137/750–170/786*, Baghdad 1969, 16–19; Elton Daniel, *The Political and Social History of Kurasan Under Abbasid Rule*, Chicago 1979, 35ff; Moshe Sharon, *Black Banners from the East: The Establishment of the Abbasid State: Incubation of a Revolt*, Jerusalem 1983, 233–237; Saleh Said Agha, *The Revolution which Toppled the Umayyads: Neither Arab nor Abbasid*, Leiden 2003, xxii–xxiii.
 29. The full title as given in the manuscript is *Kitāb fihī akhbār al-'Abbas wa fadā'iluhu wa manāqibuhu wa fadā'il wuldihi wa manāqibihim raḍīya Allāh 'anhum arjmā'in*. The editor al-Duri chose the title *Akhbar al-Dawla* on the basis that the work is concerned with the *da'wa*, and that *da'wa* and *dawla* are synonyms (Duri, *Akhbar al-Dawla*, 18). Daniel rejected this especially on the basis of the circularity of the argument and adduces the generally accepted practise of referring to the work as *Akhbar al-'Abbas* (Daniel, 'Anonymous', 419–420).
 30. Among the arguments noted by Daniel in rejection of this ascription, most notable is that Ibn al-Nattah was an elder to al-Baladhuri, who in the *Akhbar al-'Abbas* serves as a senior authority (Daniel, 'Anonymous', 423).
 31. Daniel, 'Anonymous', 424. Such evidence included the necessary connections with the Abbasid family, the fact that this Muhammad bin al-'Abbas was known to have written a work titled *Manaqib bani'l-'Abbas*, and that his dates generally correspond with the traditionists whom the *Akhbar* relies on as direct informants.
 32. Bahramian cites the presence of 'Ali bin Ibrahim bin Hashim al-Qummi (d. mid third/ninth century) in the chain of narrations of the *Akhbar* to justify this later dating, see A. Bahramian, 'Akhbār al-Dawla al-'Abbāsiyya', trans. Suheyl Umar, *Encyclopaedia Islamica*. Bahramian also proposes an association between the author of the *Akhbar* and the still elusive author of the famous *Kitab al-Futuh*, Ibn A'tham al-Kufi, who likely wrote between the third-fourth/ninth-tenth centuries.
 33. Daniel, 'Anonymous', 425.
 34. Daniel, 'Anonymous', 427.
 35. Daniel, 'Anonymous', 427.
 36. Daniel, 'Anonymous', 427.
 37. On the debates regarding the ambivalence as well as salience of the term *al-riḍā*, and whether this was used as a 'code-name' for a pre-existing Abbasid candidate or if it denoted the movement's desire to select a 'chosen' candidate from the Hashimid household, see Patricia Crone. 'On the Meaning of the Abbasid Call to al-Rida.' *The Islamic World: Essays in Honor of Bernard Lewis*, Princeton 1989, 95–111.
 38. Daniel, 'Anonymous', 428. Daniel distinguishes the idea of the imam from that of the caliph, in that the former is 'more special in character.'
 39. The work is also known by the title *Kitab Siyar al-A'imma wa-akhbarihim* (Book on the Biographies of the Imam and their (historical) reports), and as *Ta'rikh Abu Zakariyya* (History of Abu Zakariyya). Earlier works in Arabic historiography recount the history of the Rustamid Imamate in North Africa, notably Ibn al-Ṣaghīr's work on the Rustamid imams. Ibn al-Ṣaghīr however was not an 'Ibadi and has been ascribed Shi'i tendencies, see T. Lewicki, 'Ibn al-Ṣaghīr', *EP*.
 40. Paul M. Love Jr., *Ibadi Muslims of North Africa: Manuscripts, Mobilization, and the Making of a Written Tradition*, Cambridge 2018, 37–42.
 41. A. de Motylinski/T. Lewicki, 'Abū Zakāriyyā al-Wardjlāni', *El2*.
 42. Love Jr., *Ibadi Muslims*, 34.
 43. Love Jr., *Ibadi Muslims*, 34–35.
 44. Love Jr., *Ibadi Muslims*, 37.
 45. Love Jr., *Ibadi Muslims*, 42.
 46. For a succinct overview of scholarship related to recent approaches to early Muslim history see Fred M. Donner, 'Modern Approaches to Early Islamic History', *The New Cambridge History of Islam. Volume I: The Formation of the Islamic World Sixth to Eleventh Centuries*, ed. Chase Robinson, Cambridge 2010, 625–648.
 47. Rosenthal, *A History of Muslim Historiography*, 87, notes that 'dynastic historiography', that is, historical writings that dealt with the history of different Muslim *dawlas*, became numerous after the 'disappearance of central authority' in the post fourth/tenth century era. R. S. Humphreys et. al, 'Ta'rikh', *EP*, notes that political biographies and dynastic chronicles became part of the emphasis of 'middle-period historians,' in reference mainly to the post-fifth/eleventh century era and especially the Mamluk period of historiographical writing.
 48. These include notably the work of Ibn al-Nattah on the Abbasid *dawla* as well as the 'biography of Mu'awiyah and the Umayyads' attributed to 'Awana bin al-Hakam (d. 147/764 or 153/770), neither of which are extant. See Rosenthal, *A History of Muslim Historiography*, 89–90.
 49. These segments thus occupy 293 pages out of the 339 in the printed Arabic edition, al-Nu'man, *Iftitah*, 1–294. The equivalent in the English translation is 182 out of 237 pages, al-Nu'man, *Iftitah*, trans. *Founding the Fatimid State*, 20–202.
 50. al-Nu'man, *Iftitah*, 294–339, trans. 202–237.
 51. Included in the *Iftitah* are references to the foundation of the Fatimid capital at al-Mahdiyya in 303/916, the Fatimid expeditions to Egypt in 303–301/913–914 and 306/918, the series of wars and treaties between the Fatimids, Byzantines and Umayyads as well as the rebellion of Abu Yazid al-Nukkari between 332–336/943–947. The coverage of these is summative. For instance, events related to Abu Yazid's rebellion and al-Manṣūr's reign are covered in three pages, al-Nu'man, *Iftitah*, 332–335.
 52. For al-Nu'man's works on al-Mahdi, al-Qa'im, al-Mansur and al-Mu'izz see Poonawala, *Chronology*, 112.
 53. Donner has thus noted that 'historical writing about their own origins' came to have central importance for the early Islamic communities, see Fred M. Donner, *Narratives of Islamic Origins: The Beginnings of Islamic Historical Writing*, Princeton 1998, 117.
 54. The broader answer to the question as to why 'origins narratives' garnered the power of legitimacy, is located in the very function of historical writing. Donner thus notes that 'present' situations are given significance when situated 'within a context that stretches back into the past, to an *arché* or putative beginning.' The writing of history of the intervening development between the 'beginning' and the 'present' becomes a 'tradition.' By serving as the bridge between the 'origins' and the 'present', this tradition therefore gives the 'present' a distinct significance, Donner, *Narratives*, 112–113. Having identified 'some moment in the past' as the moment when a religious community, political structure or even intellectual tradition began, consequently, the writing of history becomes a 'profoundly legitimising activity' and 'authorises a community's very claim to legitimate existence', Donner, *Narratives*, 114.
 55. Donner, *Narratives*, 142.
 56. See Donner, *Narratives*, 142–143, for his articulation of these broad themes and their definitions.
 57. Albrecht Noth, *The Early Arabic Historical Tradition: A Source-Critical Study*, 2nd edition in collaboration with Lawrence I. Conrad, trans. M. Bonner, Princeton 1994, 31.
 58. Noth argues that *futuh* works likely emerged out of localised collections pertaining to individual battles or the conquests of major cities that were then collated to form larger *futuh* collections (Noth/Conrad, *Historical Tradition*, 32–33). Donner however seeks to modify this view by stating that broader *futuh* historiography was contemporaneous with more localised works (Donner, *Narratives*, 175–177).
 59. Chase Robinson, *Islamic Historiography*, Cambridge 2003, p. 42; on page 18 he similarly labels the *futuh* as an early theme of historiography.
 60. Donner, *Narratives*, 175.
 61. Robinson, *Historiography*, 42.
 62. As Donner notes in *Narratives*, 177, this is manifest by accounts that demonstrate God's decree for the rule of the believers through the use of 'tell-tale phrases' that report battle victories resulting directly from the aid of God. These phrases include 'God opened the city to the Muslims', 'God destroyed the Byzantines', 'God delivered the Muslims'. Other functions of *futuh* accounts included their ability to advance claims of prestige for a particular tribe, as well as serving as pious exhortations to battle (179).
 63. Donner, *Narratives*, 181.
 64. Donner, *Narratives*, 285–291 for a discussion of the notion of 'multiple orthodoxies' and the broad acceptance of the framework of the Muslim origins narratives.
 65. Sharon, *Black Banners*, 27, notes the importance of the *da'wa-dawlah* paradigm as a 'crucial concept' in cementing the 'principles and rhetoric of revolution' and state-formation in post-Abbasid political theory, as testified by later medieval authors such as Ibn Khaldun. However, he narrowly situates this paradigm as being uniquely engendered by the Abbasid *da'wa* which tried to signify their *da'wa* and *dawla* as mirroring that of the Prophet, an aspect he argues was later inherited by Isma'ili and other movements, 24–25.
 66. For a discussion regarding the completeness of the surviving manuscript of the *Akhbar* see Daniel, 'Anonymous', 420.
 67. *Akhbar al-'Abbas*, 166.
 68. *Akhbar al-'Abbas*, 167.
 69. *Akhbar al-'Abbas*, 201ff.
 70. *Akhbar al-'Abbas*, 201. These firsts came to include Yazid bin al-Hunayd, Abu 'Ubaydah Qays bin al-Sari al-Masli and Sulayman bin Kathir al-Khuza'i. On the ubiquity of the motif of 'firsts' in the early written Arabic tradition see F. Rosenthal, 'Awā'il', *EP*.
 71. See for instance *Akhbar al-'Abbas*, 213–219 and 222.
 72. *Akhbar al-'Abbas*, 213.
 73. *Akhbar al-'Abbas*, 199.
 74. *Akhbar al-'Abbas*, 166.
 75. *Akhbar al-'Abbas*, 166.
 76. *Akhbar al-'Abbas*, 194.
 77. *Akhbar al-'Abbas*, 120.
 78. *Akhbar al-'Abbas*, 120.
 79. See for example Ignaz Goldziher, *Muslim Studies*, vol.2, ed. Samuel M. Stern, trans. Samuel M. Stern and C. R. Barber, New York 1971, 123.
 80. *Akhbar al-'Abbas*, 310–371 ff.
 81. *Akhbar al-'Abbas*, 281.
 82. See Moshe Sharon, 'Ḳahtaba', *EP*.
 83. *Akhbar al-'Abbas*, 237; see also 324, 327, 330, 349.
 84. *Akhbar al-'Abbas*, 387–415.
 85. al-Nu'man, *Iftitah*, 1, trans. 19.
 86. al-Nu'man, *Iftitah*, 2, trans. 20.
 87. The *Dawr al-Satr* (period of concealment) refers to an era in Isma'ili history when the identities of the Isma'ili imams, beginning with Muhammad b. Isma'il (d. circa late 2nd/8th century), were closely guarded and concealed from public view due to the Abbasid persecution of Shi'i Imams. The era thus encompasses 153–297/765–909, ending with the manifestation (*zuhur*) of the Fatimid imam-caliph al-Mahdi bi'llah. For the history of the Isma'ili *da'wa* in this period see Daftary, *Isma'ilis*, 87–128.
 88. al-Nu'man, *Iftitah*, 2, trans. 20.
 89. al-Nu'man, *Iftitah*, 4–31, trans. 2–40.
 90. al-Nu'man, *Iftitah*, 30–31, trans. 45. See also Poonawala, 'The Beginning of the Ismaili Da'wa', 346ff.
 91. Of the numerous examples, see for example, al-Nu'man, *Iftitah*, 49, 135, trans. 59, 110.
 92. al-Nu'man, *Iftitah*, 79, 83, trans. 77, 88. The *dar al-hijra* (abode of emigration) refers to fortified centres in which adherents of the *da'wa* settled in resonance with the famed emigration (*hijra*) of the Prophet Muhammad from Mecca to Medina in 622. Ibn Hawshab is said to have established a *dar al-hijra* at 'Adan La'a. On the role of such 'abodes of emigration' in the third-fourth/ninth-tenth century history of the Isma'ili *da'wa* see Daftary, *Isma'ilis*, 108–110, 126.
 93. Poonawala, 'The Beginning of the Ismaili Da'wa', 346–350.
 94. al-Nu'man, *Iftitah*, 1, trans. 19. The verses quoted in the *Iftitah* thus proclaim: 'It is the party of God that must certainly triumph (*hizba'llahi humu'l-ghalibun*); that 'Our forces—they surely must conquer (*inna jundana lahumu'l-mansurun*)' and notably in the use of verses of Biblical antecedent from the Qur'anic chapter of 'The Prophets' that 'My righteous servants shall inherit the earth (*anna'l-arda yarituhu'l-salihin*)'. The Qur'anic verses are parts of 5:56; 37:173 and 21:105 respectively.
 95. Farhad Daftary, 'Hidden Imams and Mahdis in Ismaili History', *Ismaili and Fatimid Studies in Honour of Paul E. Walker*, ed. Bruce D. Craig, Chicago, 2010, 1–23. For an overview of the subject see W. Madelung, 'al-Mahdi', *EP*. For the divergence of views on the identity of the Mahdi within the early Isma'ili movement and the subsequent emergence of the Qaramita, see Farhad Daftary, *Ismailis*, 116–126, and 'A Major Schism in the Early Isma'ili Movement', *Studia Islamica* 77, 1993, 123–39.
 96. al-Nu'man, *Iftitah*, 1–2, trans. 19–20.
 97. al-Nu'man, *Iftitah*, 59, trans. 63.
 98. al-Nu'man, *Iftitah*, 62, trans. 66–67.
 99. al-Nu'man, *Iftitah*, 62, trans. 67. The full verses of this unknown poet are found in al-Nu'man, *Iftitah*, 63–66, trans. 67–69.
 100. al-Nu'man, *Iftitah*, 65, trans. 68.
 101. al-Nu'man, *Iftitah*, 65, trans. 68.
 102. al-Nu'man, *Iftitah*, 65–66, trans. 68.
 103. al-Nu'man, *Iftitah*, 69, trans. 70.

104. al-Nu'man, *Iftitah*, 115., trans. 99
105. al-Nu'man, *Iftitah*, 124., trans. 104–105.
106. For those beyond the *da'wa*, al-Nu'man relates that those who 'adhered to his (Abu 'Abdallah's) *da'wa* (*dakhala fi da'watihi*) became known as easterners (*al-mashāriqa*)' al-Nu'man, *Iftitah*, 79, trans. 77.
107. al-Nu'man described the excellence of those who entered into his *da'wa* (*ṣalāḥ aḥwāl man dakhala da'watihi*) as constituting abstinence from sin, piety and devotion to good acts; qualities which motivated others to join, al-Nu'man, *Iftitah*, 79, trans. 77.
108. al-Nu'man, *Iftitah*, 122, trans. 103
109. al-Nu'man, *Iftitah*, 130, trans. 108; al-Nu'man here relates 'remarkable tales' (*akhbāru'l-'ajiba*) of those who would spend the entirety of their wealth and donate all their possessions like Kuwayrit bin Qays al-Lahiṣi.
110. al-Nu'man, *Iftitah*, 122, trans. 103.
111. al-Nu'man, *Iftitah*, 116, trans. 99.
112. The classification provided thus distinguishes between those who sought 'God's favour and reward', those who wished a portion of this world and the next (*al-dīn wa'l-dunya*); those who wanted glory, honour, prestige and leadership (*al-fakhr, wa'l-sharaf, wa'l-dhikr, wa'l-r'i'āsah*), those who sought gain and benefit, those who entered out of envy, and rivalry, and finally those who entered out of 'fear, dissimulation and deceit' (*khawfan wa taqīyyatan wa mudārātan*); see al-Nu'man, *Iftitah*, 118, trans. 100.
113. The text thus pronounces that the 'The affair of the *da'wa* manifest in Yemen (*ṣahāra amruhā*). It denotes Ibn Hawshab's military conquests in which he 'conquered towns in the Yemen (*waftataḥā madā'in al-Yemen*) and gained control of Ṣan'a', al-Nu'man, *Iftitah*, 18, trans. 34.
114. al-Nu'man, *Iftitah*, 135, trans. 110.
115. al-Nu'man, *Iftitah*, 165, trans. 126.
116. al-Nu'man, *Iftitah*, 165–203, trans. 126–150. These include accounts on the *Futūḥ* of Tubna (173, trans. 131), Billizma (178, trans. 134), Tijis (182, trans. 137), Baghaya (203, trans. 150), Qastiliya and Qafsa (222, trans. 161) which occurred concurrently between 293–296/906–909.
117. al-Nu'man, *Iftitah*, 227–233, trans. 165–168.
118. al-Nu'man, *Iftitah*, 243, trans. 174.
119. al-Nu'man, *Iftitah*, 67, trans. 69.
120. al-Nu'man, *Iftitah*, 99, trans. 89.
121. al-Nu'man, *Iftitah*, 100, trans. 90. Here it is Abu 'Abdallah al-Andalusi, the progenitor of the Banu Hamdun, who stands to proclaim the *dā'īs* resolve.
122. al-Nu'man, *Iftitah*, 108, trans. 95.
123. al-Nu'man, *Iftitah*, 109, trans. 95.
124. al-Nu'man, *Iftitah*, 172, trans. 131.
125. al-Nu'man, *Iftitah*, 142, trans. 114.
126. al-Nu'man, *Iftitah*, 166, trans. 127.
127. al-Nu'man, *Iftitah*, 246–251, trans. 176–179.
128. al-Nu'man, *Iftitah*, 269, trans. 192.
129. al-Nu'man, *Iftitah*, 288, trans. 202.
130. al-Nu'man, *Iftitah*, 293, trans. 205.
131. al-Nu'man, *Iftitah*, 303, trans. 211.
132. al-Nu'man, *Iftitah*, 306ff., trans. 213ff.
133. In its introductory section, the *Kitab al-Sira* elucidates the structure of the origins narrative pertaining to the Rustamids. Accordingly, al-Warjalani, *Kitab al-Sira*, 39, notes: 'The first [part] of this [text] is the recounting of the appearance of the Ibadī *madhhab* in the lands of the Maghrib, and the matter of the "five bearers of knowledge" (*ḥamalāt al-'ilm*) to the lands of the Maghrib, and how the imamate began (*ibtidā' al-imāmā*) until its dissolution.'
134. Warjalani, *Kitab al-Sira*, 40.
135. Warjalani, *Kitab al-Sira*, 48, in the chapter on the 'Merits of the Persians (*dhikr fadā'il al-Furs min al-'Ajām*),' an account is given of the Qur'anic verses regarding those who would turn away from religion, to which the Prophet Muhammad said that those who would rise up to restore religion would be from the people of Salman al-Farsi. In the chapter on the 'Merits of the Berbers (*dhikr faḍā'il al-Berber*)', a statement from the archangel Gabriel to the Prophet is quoted that the Berbers will be a people 'who will revive the religion of God (*yaḥyūn dīn Allāh*) after it has died' (51).
136. Love Jr., *Ibadi Muslims*, 38–39.
137. Warjalani, *Kitab al-Sira*, 54.
138. Warjalani, *Kitab al-Sira*, 56; the text then notes (57) that upon the arrival of the five in Tripolitania, the community there was in a state of *kitman* (without an imam), following which they agreed to give the *bay'ah* to Abu'l-Khattab; prior to this Abu'l-Khattab was the imam in *sitr* (secret) (57–58).
139. Warjalani, *Kitab al-Sira*, 60.
140. Warjalani, *Kitab al-Sira*, 64.
141. Warjalani, *Kitab al-Sira*, 74, 77.
142. Warjalani, *Kitab al-Sira*, 71.
143. Warjalani, *Kitab al-Sira*, 81.
144. Warjalani, *Kitab al-Sira*, 81ff.
145. See Daniels, 'Anonymous', 427–428.
146. *Akhbar al-'Abbas*, 28.
147. Berg argues this as having been a feature especially of later Abbasid propaganda in their rivalry with the 'Alids. He adds that because the Abbasids were generally unable to demonstrate al-'Abbas himself as being an equal to 'Ali ibn Abi Talib in religious knowledge, pro-Abbasid writers therefore sought to project the qualities of knowledge on his son, 'Abdallah ibn 'Abbas. See Herbert Berg, 'Abbasid Historians' Portrayal of al-'Abbas ibn 'Abd al-Muttalib', *Abbasid Studies II: Occasional Papers of the School of 'Abbasid Studies Leuven, 28 June-1 July 2004*, ed. J. Nawas, Leuven 2010, 13. On this elevation of Ibn 'Abbas see also Herbert Berg, *The Development of Exegesis in Early Islam: The Authenticity of Muslim Literature from the Formative Period*, London and New York 2013, 214. The *Akhbar's* assertion that Ibn 'Abbas himself learnt from 'Ali perhaps indicates the earlier dating of this part of the text.
148. *Akhbar al-'Abbas*, 28–36.
149. *Akhbar al-'Abbas*, 28.
150. *Akhbar al-'Abbas*, 28.
151. Pronouncements on the superiority of Ibn 'Abbas' knowledge ('*ilm*') and opinion (*ra'y*) are thus attributed to 'Abdallah ibn 'Utbah (*Akhbar al-'Abbas*, 29) and also include the statement from Mujahid on the breadth of Ibn 'Abbas' knowledge (*Akhbar al-'Abbas*, 34).
152. *Akhbar al-'Abbas*, 32. See also 29.
153. *Akhbar al-'Abbas*, 33.
154. *Akhbar al-'Abbas*, 34.
155. Daniels, 'Anonymous', 427; see also *Akhbar al-'Abbas*, 42–50.
156. *Akhbar al-'Abbas*, 66–67. See also Daniels, 'Anonymous', 427.
157. *Akhbar al-'Abbas*, 137.
158. *Akhbar al-'Abbas*, 139, see also 151.
159. *Akhbar al-'Abbas*, 161.
160. *Akhbar al-'Abbas*, 161–162.
161. It is notable that the author of the *Akhbar al-'Abbas* (165), expressly sets out the doctrine of the imamate as proclaimed by the earlier Abbasid caliphs as compared with later developments. The work thus notes that 'Ali ibn 'Abdallah b. al-'Abbas and his sons used to claim the continuation of the Kaysani imamate of Muhammad ibn al-Hanafiyya, until this was rejected by al-Mahdi, who claimed rather that the imamate after the death of the Prophet had passed to his uncle al-'Abbas and then to his sons and descendants.
162. *Akhbar al-'Abbas*, 165. For the significance of the transfer of the imamate from Abu Hashim to the Abbasid line see A. Bahramian and H. Ansari, 'Abū Hāshim', *Encyclopaedia Islamica*. Earlier discussions on the significance of this 'transfer' include Julius Wellhausen, *The Arab Kingdom and its Fall*, trans. M. G. Weir, Calcutta 1927, 503–505; C. Cahen, 'Points de vue sur la "Révolution abbāsīde"', *Les peuples musulmans dans l'histoire médiévale*, Damascus 1977, 105–160, 129 and S. Moscati, 'Abu Hāshim', *EP*.
163. *Akhbar al-'Abbas*, 184.
164. *Akhbar al-'Abbas*, 184–185.
165. *Akhbar al-'Abbas*, 166.
166. Warjalani, *Kitab al-Sira*, 41–42: 'Abd al-Rahman was told by the people of the *da'wa*, that if you seek the knowledge of the people of this affair (*'ilm ahl hādha'l-amr*) that you have assigned yourself to and become associated with, and I see that you seek this, then set forth to the lands of Basra, for there is found a scholar by the *kunya* Abu 'Ubayda, whose name is Muslim ibn Abi Karimah al-Tamimi, and with whom you will find what you seek.'
167. Warjalani, *Kitab al-Sira*, 55–56.
168. al-Nu'man, *Iftitah*, 8, trans. 26: thus for instance the imam's prophecy that the religion will not triumph and rise except from the Yemen and the imam's prophecy to Ibn Hawshab that 'indeed God will cause you to triumph and *dā'īs* will indeed proceed from you to the remotest parts of the earth.' al-Nu'man, *Iftitah*, 11, trans. 28.
169. al-Nu'man, *Iftitah*, 11, trans. 29.
170. al-Nu'man, *Iftitah*, 14, trans. 32: Ibn Hawshab says 'I asked every Yemenite I met about 'Adan La'a, but they all said that La'a itself was a well-known place but we do not know 'Adan La'a (*lākin mā na'ruf 'Adan Lā'a*). We only know 'Adan Abyan.'
171. al-Nu'man, *Iftitah*, 16, trans. 32–33.
172. al-Nu'man, *Iftitah*, 7, trans. 24: Ibn Hawshab is asked by the imam whether he believes in the 'justice and unity of God' (*anta mimman yaqūl bi'l-'adl wa'l-tawḥīd*) in regard to the Qur'anic verse 18:74, which relates the slaying of a youth at the hands of Moses. When Ibn Hawshab is asked to reconcile this doctrine with this verse, he replied that he was unable to do so, as 'I lack knowledge thereof' (*innanī ila 'ilm al-wajh fi-dhālika la-faqr*).
173. al-Nu'man, *Iftitah*, 8, trans. 25: Ibn Hawshab reports 'The purport of what he said greatly impressed upon my heart, and my mind became preoccupied with what I had heard (*shaghala ma sami'tū minhu dhihni*).
174. al-Nu'man, *Iftitah*, 8, trans. 25.
175. On the history and function of the Isma'ili *majalis* see Heinz Halm 'The Ismā'īlī Oath of Allegiance ('*ahd*) and The Session of Wisdom (*majālis al-ḥikma* in Fatimid Times)', *Mediaeval Isma'ili History and Thought*, ed. Farhad Daftary, Cambridge 1996, 91–115. For the teachings of the *da'wa* taught in these *majalis* see Daftary, *Isma'ilis*, 128–136 and Halm, *Empire*, 106–107.
176. al-Nu'man, *Iftitah*, 30, trans. 45.
177. al-Nu'man, *Iftitah*, 30, trans. 45.
178. al-Nu'man, *Iftitah*, 31, trans. 45.
179. al-Nu'man, *Iftitah*, 35, trans. 48–49.
180. al-Nu'man, *Iftitah*, 39, trans. 51.
181. See Poonawala, 'The Beginning of the Isma'ili Da'wa', 350, for a commentary on the importance of 'establishing the *da'wa's* origins as going back' to Ja'far al-Ṣādiq.
182. al-Nu'man, *Iftitah*, 27 trans. 42. Abu Sufyan is said to have related the virtues of the *Ahl al-Bayt* to the inhabitants of the Ifriqiyan city of Tala (ancient Thala). These inhabitants as well as others from the nearby towns of al-Urbus and Nafta came to learn from him (*yastami'ūna minḥ wa ya'khudhūna 'anh*) (27, trans. 43). Separately, al-Hulwani is said to have gone to Sujmar on the eastern edge of Kutama territory, and who became famous because of his piety, virtue and knowledge (29, trans. 44).
183. al-Nu'man, *Iftitah*, 29, trans. 44.
184. al-Nu'man, *Iftitah*, 30, trans. 44.
185. al-Nu'man, *Iftitah*, 41, trans. 53: they are described as having been considered by Abu 'Abdallah as being 'a people who had more knowledge and understanding (*lahum al-ma'rifa wa'l-fahmi*) than the ones whom he had seen and accompanied.' Later (42, trans. 54) Abu 'Abdallah al-Andalusi's own credentials are emphasised when he is described as having 'understanding, acuteness and knowledge (*ma'rifa*)', being a teacher who had 'risen to the rank of the learned' (*ṣāra ilā darajati'l-'ulamā*).
186. al-Nu'man, *Iftitah*, 41, trans. 53.
187. al-Nu'man, *Iftitah*, 42, trans. 54.
188. al-Nu'man, *Iftitah*, 43, trans. 55; later, Abu al-Muffatish also agrees to concur if the *dā'īs* successes are finally proven but notes he will be unable to accompany the *da'wa* due to his frailty and advanced age.
189. al-Nu'man, *Iftitah*, 48 trans. 56. See also Poonawala, 'The Beginning of the Isma'ili Da'wa', 351 on the relationship between '*kitmān*' (concealment) and Kutama as presented in this part of the text.
190. al-Nu'man, *Iftitah*, 83, trans. 79.
191. al-Nu'man, *Iftitah*, 85, trans. 81. The same motif is evident in the coalition of opponents to the *da'wa* who attempt to recruit the Berber chieftain Mahmud ibn Harun (of the Ghasman). He is reported as asking for a debate between Abu 'Abdallah and the ulama, but they express a similar fear (91, trans. 85).
192. al-Nu'man, *Iftitah*, 49, trans. 56.
193. al-Nu'man, *Iftitah*, 49, trans. 56.
194. al-Nu'man, *Iftitah*, 53, trans. 59.
195. al-Nu'man, *Iftitah*, 128, trans. 107.
196. al-Nu'man, *Iftitah*, 132, trans. 109.
197. al-Nu'man, *Iftitah*, 146, trans. 115.
198. al-Nu'man himself relays these instructions from the imam-caliph regarding the composition of the *Iftitah*. See Qadi al-Nu'man, *Kitab al-majalis wa'l-musayarat*, eds. al-Habib al-Faqi, Ibrahim Shabbuh and Muhammad al-Yalawi, Tunis 1978, 117–118. For a version of this account in the work of the later medieval Isma'ili author Idris 'Imad al-Din (d. 872/1468) see Jiwa, *Founder of Cairo*, 96.
199. Walker, 'Dā'ī (in Ismā'īlī Islām)', *El3*, notes that the 'Ismā'īlī *da'wa*, unlike many other Islamic *da'was*, did not cease to exist but rather became a part of the governing apparatus.' See also F. Daftary, 'The Isma'ili *Da'wa* outside the Fatimid *Dawla*', *L'Egypte Fatimide: Son art et son historie*, ed. M. Barrucand, Paris 1990, 29–43. For an alternate view on the killing of Abu 'Abdallah to the *Iftitah*, see W. Madelung and P. Walker, *The Advent of the Fatimids: A Contemporary Shi'i Witness*, London and New York, 2000, text 55–56, trans. 107.
200. For al-Nu'man's own account of his delivery of the *majālis* in al-Mu'izz li-Din Allah's palace, see al-Nu'man, *Kitab al-majalis*, 386–388. For Idris' version see Jiwa, *Founder of Cairo*, 85–88.